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## LEWIS MUMFORD

has won recognition as a philosopher, sociologist, and as a critic of art and literature. Though not an architect himself, Mr. Mumford has had a world-wide influence on design, planning, and urban development. He firmly established himself as a writer of the highest rank with the publication, in 1926, of *THE GOLDEN DAY*. Other works include *STICKS AND STONES*, *THE STORY OF UTOPIAS*, *HERMAN MELVILLE*, *CITY DEVELOPMENT*, *VALUES FOR SURVIVAL*, *FAITH FOR LIVING*, and *GREEN MEMORIES*.

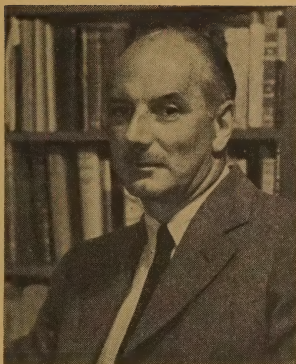


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STICKS AND STONES



# STICKS AND STONES

A STUDY OF AMERICAN ARCHITECTURE  
AND CIVILIZATION

LEWIS MUMFORD



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*Architecture, properly understood, is  
civilization itself.*

—W. R. LETHABY

*What is civilization? It is the humani-  
zation of man in society.*

—MATTHEW ARNOLD.



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## ACKNOWLEDGMENTS

THIS is an attempt to evaluate architecture in America in terms of our civilization. I have not sought to criticize particular buildings or tendencies: I have tried, rather, by approaching our modern problems from their historic side, to criticize the forces that from one age to another have conditioned our architecture, and altered its forms. Lest my purpose be misunderstood, I have left out illustrations; for a building is not merely a sight; it is an experience: and one who knows architecture only by photographs does not know it at all. If the omission of pictures lead the reader occasionally to break away from the orbit of his daily walks, and examine our development in cities and buildings for himself, it will be sufficiently justified.

This book would not have been put together but for the persistent encouragement and kindly interest of Mr. Albert Jay Nock: and it was in *The Freeman* that the first five chapters, in somewhat briefer form, appeared. My hearty thanks are likewise due to Mr. Charles Harris Whitaker, whose private help and whose admirable public work as editor of the *Journal of the American Institute of Architects* have both laid me under a heavy obligation. My intellectual debt to Messrs. Victor Branford and Patrick Geddes will be apparent to those who have followed their work. In the concluding chapters I have been stimulated and guided in many places by unpublished reports and memoranda written by Mr. Clarence Stein, Mr. Benton Mackaye, and Mr. Henry Wright. My friendly thanks are also due to Mr. James Henderson, Mr. Geroid Tanquary Robinson, and Miss Sophia Wittenberg.

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LEWIS MUMFORD.



**CHAPTER ONE**  
**THE MEDIEVAL TRADITION**



## I

For a hundred years or so after its settlement, there lived and flourished in America a type of community which was rapidly disappearing in Europe. This community was embodied in villages and towns whose mummified remains even today have a rooted dignity that the most gigantic metropolises do not often possess. If we would understand the architecture of America in a period when good building was almost universal, we must understand something of the kind of life that this community fostered.

The capital example of the medieval tradition lies in the New England village.

There are two or three things that stand in the way of our seeing the life of a New England village; and one of them is the myth of the pioneer, the conception of the first settlers as a free band of "Americans" throwing off the bedraggled garments of Europe and starting life afresh in the wilderness. So far from giving birth to a new life, the settlement

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of the northern American seaboard prolonged for a little while the social habits and economic institutions which were fast crumbling away in Europe, particularly in England. In the villages of the New World there flickered up the last dying embers of the mediæval order.

Whereas in England the common lands were being confiscated for the benefit of an aristocracy, and the arable turned into sheep-runs for the profit of the great proprietors, in New England the common lands were re-established with the founding of a new settlement. In England the depauperate peasants and yeomen were driven into the large towns to become the casual workers, menials, and soldiers; in New England, on the other hand, it was at first only with threats of punishment and conscription that the town workers were kept from going out into the countryside to seek a more independent living from the soil. Just as the archaic speech of the Elizabethans has lingered in the Kentucky Mountains, so the Middle Ages at their best lingered along the coast of Appalachia; and in the organization of our New England villages one sees a greater resemblance to the mediæval Utopia of Sir Thomas More than to the classic republic in the style of Montesquieu,

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which was actually founded in the eighteenth century.

The colonists who sought to establish permanent communities—as distinct from those who erected only trading posts—were not a little like those whom the cities of Greece used to plant about the Mediterranean and the Black Sea littoral. Like the founders of the “Ancient City,” the Puritans first concerned themselves to erect an altar, or rather, to lay the foundations for an edifice which denied the religious value of altars. In the crudest of “smoaky wigwams,” an early observer notes, the Puritans remember to “sing psalms, pray, and praise their God”; and although we of today may regard their religion as harsh and nay-saying, we cannot forget that it was a central point of their existence and not an after-thought piled as it were on material prosperity for the sake of a good appearance. Material goods formed the basis, but not the end, of their life.

The meeting-house determined the character and limits of the community. As Weeden says in his excellent *Economic and Social History of New England*, the settlers “laid out the village in the best order to attain two objects: first, the tillage and culture of the soil; second, the maintenance of a

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‘civil and religious society.’” Around the meeting-house the rest of the community crystallized in a definite pattern, tight and homogeneous.

The early provincial village bears another resemblance to the early Greek city: it does not continue to grow at such a pace that it either becomes overcrowded within or spills beyond its limits into dejected suburbs; still less does it seek what we ironically call greatness by increasing the number of its inhabitants. When the corporation has a sufficient number of members, that is to say, when the land is fairly occupied, and when the addition of more land would unduly increase the hardship of working it from the town, or would spread out the farmers, and make it difficult for them to attend to their religious and civil duties, the original settlement throws out a new shoot. So Charlestown threw off Woburn; so Dedham colonized Medfield; so Lynn founded Nahant.

The Puritans knew and applied a principle that Plato had long ago pointed out in *The Republic*, namely, that an intelligent and socialized community will continue to grow only as long as it can remain a unit and keep up its common institutions. Beyond that point growth must cease, or the community will



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disintegrate and cease to be an organic thing. Economically, this method of community-development kept land values at a properly low level, and prevented the engrossing of land for the sake of a speculative rise. The advantage of the Puritan method of settlement comes out plainly when one contrasts it with the trader's paradise of Manhattan; for by the middle of the seventeenth century all the land on Manhattan Island was privately owned, although only a small part of it was cultivated, and so eagerly had the teeth of monopoly bitten into this fine morsel that there was already a housing-shortage.

One more point of resemblance: all the inhabitants of an early New England village were co-partners in a corporation; they admitted into the community only as many members as they could assimilate. This co-partnership was based upon a common sense as to the purpose of the community, and upon a roughly equal division of the land into individual plots taken in freehold, and a share of the common fields, of which there might be half a dozen or more.

There are various local differences in the apportionment of the land. In many cases, the minister

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and deacons have a larger share than the rest of the community; but in Charlestown, for example, the poorest had six or seven acres of meadow and twenty-five or thereabouts of upland; and this would hold pretty well throughout the settlements. Not merely is membership in the community guarded: the right of occupying and transferring the land is also restricted, and again and again, in the face of the General Assembly, the little villages make provisions to keep the land from changing hands without the consent of the corporation; "it being our real intent," as the burghers of Watertown put it, to "sitt down there close together."

These regulations have a positive side as well; for in some cases the towns helped the poorer members of the corporation to build houses, and as a new member was voted into the community, lots were assigned immediately, without further ado. A friend of mine has called this system "Yankee communism," and I cheerfully bring the institution to the attention of those who do not realize upon what subversive principles Americanism, historically, rests.

What is true of the seventeenth century in New England holds good for the eighteenth century in the

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Moravian settlements of Pennsylvania; and it is doubtless true for many another obscure colony; for the same spirit lingered, with a parallel result in architecture and industry, in the utopian communities of the nineteenth century. It is pretty plain that this type of pioneering, this definite search for the good life, was conducted on an altogether different level from the ruthless exploitation of the individual muckers and scavengers who hit the trail west of the Alleghanies. Such renewals of the earlier European culture as the Bach Festival at Bethlehem give us a notion of the cultural values which the medieval community carried over from the Old World to the New. There is some of this spirit left even in the architecture of the Shaker community at Mount Lebanon, New York, which was built as late as the nineteenth century.

In contrast to the New England village-community was the trading post. Of this nature were the little towns in the New Netherlands which were planted there by the Dutch West India Company: the settlers were for the most part either harassed individuals who were lured to the New World by the prospects of a good living, or people of established rank who were tempted to leave the walks of

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commerce for the dignities and affluences that were attached to the feudal tenure of the large estates that lined the Hudson.

The germs of town life came over with these people, and sheer necessity turned part of their energies to agriculture, but they did not develop the close village-community we find in New England; and though New Amsterdam was a replica of the Old World port, with its gabled brick houses, and its well-banked canals and fine gardens, it left no decided pattern on the American scene. It is only the country architecture of the Dutch which survives as either a relic or a memory. These trading posts like Manhattan and Fort Orange were, as Messrs. Petersen and Edwards have shown in their study of New York as an Eighteenth Century Municipality, medieval in their economy: numerous guild and civic regulations which provided for honest weight and measure and workmanship continued in force within the town. In their external dealings, on the other hand, the practice of the traders was sharp, and every man was for himself. Beginning its life by bargaining in necessities, the trading post ends by making a necessity of bargaining; and it was the impetus from its original commercial habits which

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determined the characteristics of the abortive city plan that was laid down for Manhattan Island in 1811. Rich as the Dutch precedent is in individual farmhouses, it brings us no pattern, such as we find in New England, for the community as a whole.

### II

Since we are accustomed to look upon the village as a quaint primitive relic of a bygone age, we do not readily see that its form was dictated by social and economic conditions. Where the village had to defend itself against Indians, it was necessary to lay it out completely, so that it might be surrounded by a stockade, and so that the meeting-house might be such a rallying center as the bell-tower or the castle was in Europe, or as the high temple site was in classic times. But in the eighteenth century the Indian figured less in the scheme of colonial life, and along the seacoast and river—as at Wells Beach in Maine or Litchfield in Connecticut—the village became a long strip upon a highroad, and the arable land stretched in narrow plots from the house to the water, so that the farmer might better protect his crops and his livestock from the fox,

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the wolf, the woodchuck, the hawk, the skunk, and the deer.

I emphasize these points of structure because of the silly notion superficial observers sometimes carry away from the villages of Europe or New England; namely, that their irregularity is altogether capricious and uneconomical, associated only with the vagaries of the straying cow. It would be more correct to say that the precise reverse was true. The inequality in size and shape of plots shows always that attention was paid to the function the land was to perform, rather than to the mere possession of property. Thus, there was a difference in size between home lots, which were always seated in the village, and purely agricultural tracts of land, which were usually on the outskirts; and in Dedham, for example, married men had home lots of twelve acres, while bachelors received only eight. Another reason for the compactness of the village was a decree of the General Court in Massachusetts, in 1635, that no dwelling should be placed more than half a mile from the meeting-house in any new plantation. Even irregularities in the layout and placement of houses, which cannot be referred to such obvious points as these, very often derive

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from an attempt to break the path of the wind, to get a good exposure in summer, or to profit by a view.

All this was genuine community planning. It did not go by this name, perhaps, but it achieved the result.

### III

We have learned in recent years to appreciate the felicities of eighteenth-century colonial architecture, and even the earlier seventeenth-century style is now coming into its own, in the sense that it is being imitated by architects who have an eye for picturesque effects; but we lose our perspective altogether if we think that the charm of an old New England house can be recaptured by designing overhanging second stories or panelled interiors. The just design, the careful execution, the fine style that brings all the houses into harmony no matter how diverse the purposes they served—for the farmhouse shares its characteristics with the mill, and the mill with the meeting-house—was the outcome of a common spirit, nourished by men who had divided the land fairly and who shared adversity and good fortune together. When the frame of the house is to be

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raised, a man's neighbors will lend him a hand; if the harvest is in danger, every man goes out into the fields, even if his own crop is not at stake; if a whale founders on the beach, even the smallest boy bears a hand, and gets a share of the reward. All these practices were not without their subtle effect upon craftsmanship.

Schooled in the traditions of his guild, the medieval carpenter pours his all into the work. Since sale does not enter into the bargain, it is both to his patron's advantage to give him the best materials, and to his own advantage to make the most of them. If at first, in the haste of settlement, the colonists are content with makeshifts, they are nevertheless done in the traditional fashion—not the log cabins of later days, but, more probably, wattle and daub huts like those of the charcoal burners in the English forests. In some points, the prevailing English tradition does not fit the raw climate of the north, and presently the half-timbered houses of some of the earlier settlers would be covered by clapboards for greater warmth, as in the eighteenth century their interiors were lined with panelled pine or oak, instead of the rough plaster. No matter what the material or mode, the carpenter works not simply



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for hire, but for dear life's sake, and as a baker's dozen numbers thirteen, so a piece of handicraft contains not merely the workmanship itself, but a bit of the worker's soul, for good measure. The new invention of the gambrel roof, which gave additional room to the second story without raising the roof-tree, is a product of this system; and the variation in its length and pitch in New England, New Jersey, and New York is a witness to the freedom of design that prevailed throughout the work.

These seventeenth-century houses, built at first with one or two rooms, and then as luxury increased and family needs multiplied with as many as four, would doubtless seem unspeakably crude and mean to the resident of Floral Heights; indeed, if our present requirements for housing were so simple it would not be quite so difficult to meet our perpetual shortage. As a matter of fact, however, these early provincial houses were well up to the standards for a similar homestead in England; and in some ways were a distinct advance. Just as all the separate courses on a restaurant menu were a few hundred years ago cooked in the same pot, so the different subdivisions of the modern house were originally

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combined into a single room, which was not merely kitchen, workroom, and living quarters, but which also, at least in winter, served as a stable for the more delicate members of the barnyard. By the time America was settled the division into rooms had just commenced among the better sort of farmer: the barn had split off from the rest of the house, and the bedchamber was becoming a separate apartment. As the seventeenth century lengthened, this division of functions became more familiar in the provincial house.

Let us take a brief look at one of these seventeenth-century buildings; let us say, the John Ward house in Salem which still survives as a relic. As one approaches the village on some November day, when the leaves are no longer on the trees to obscure the vista, one feels the dynamic quality of medieval architecture—a quality altogether different from the prudent regularities of the later Georgian mode. It is not merely a matter of painted gables, leaded, diamond-paned windows, overhanging second stories, much as these would perhaps remind us of a medieval European town. What would attract one is the feeling, not of formal abstract design, but of growth: the house has developed as the family within it has

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prospered, and brought forth children; as sons and daughters have married, as children have become more numerous, there have been additions: by a lean-to at one end the kitchen has achieved a separate existence, for instance; and these unpainted, weathered oaken masses pile up with a cumulative richness of effect.

Every step that brings one nearer to the house alters the relation of the planes formed by the gable ends; and so one must have got the same effect in these old village streets as one gets today when one skirts around, let us say, Notre Dame in Paris, now overwhelmed by the towers at the front, and now seeing them reduced to nothing by the tall spire in the rear. So the building seems in motion, as well as the spectator; and this quality delights the eye quite as much as formal decoration, which the architecture of the seventeenth century in America almost completely lacked.

The Puritan had his failings; and this lack of decoration was perhaps the most important one in architecture. In his devotion to books and in his love for music, even psalm-music, the Puritan was not immune to art; but he was suspicious of the image, and one is tempted to read into his idol-

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breaking a positive visual defect, akin to the Daltonism or color blindness of the Quakers. Whereas medieval architecture had cherished the sculptor and the painter, even in the commonest vernacular work, the Puritans looked upon every diversion of the eye as a diversion from the Lord, and, by forbidding a respectable union between the artist and the useful arts, they finally turned the artist out on the streets, to pander to the first fine gentleman who would give him a kind word or a coin. Whereas Puritan buildings in the seventeenth century were straightforward and honestly bent to fulfill their functions, the Puritan did not see that ornament itself may be functional, too, when it expresses some positive gesture of the spirit. The bareness of the seventeenth century paved the way for the finicking graces of the eighteenth.

### IV

In essentials, however, both the life and the architecture of the first provincial period are sound. While agriculture is the mainstay of life, and the medieval tradition flourishes, the New England village reaches a pretty fair pitch of worldly

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perfection; and beneath all the superficial changes that affected it in the next century and a half, its sturdy framework held together remarkably well.

Consider the village itself. In the center is a common, a little to one side will be the meeting-house, perhaps a square barnlike structure, with a hipped roof and a cupola, like that at Hingham; and adjacent or across the way will be the grammar school. Along the roads where the houses are set at regular intervals is a great columnar arcade of elm trees. All these elements are essential to our early provincial architecture, and without them it would be a little bare and forbidding. The trees, above all, are an important part of New England architecture: in summer they absorb the moisture and cool the air, besides giving shade; in the winter their huge boles serve as a partial windbrake; even the humus from their leaves keeps the soil of the lawns in better order. The apple trees that cling to the warmer side of the house are not less essential. Would it be an exaggeration to say that there has never been a more complete and intelligent partnership between the earth and man than existed, for a little while, in the old New England village? In

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what other part of the world has such a harmonious balance between the natural and the social environment been preserved?

Nowadays we have begun to talk about garden cities, and we realize that the essential elements in a garden-city are the common holding of land by the community, and the coöperative ownership and direction of the community itself. We refer to all these things as if they represented a distinct achievement of modern thought; but the fact of the matter is that the New England village up to the middle of the eighteenth century was a garden-city in every sense that we now apply to that term, and happily its gardens and its harmonious framework have frequently lingered on, even though the economic foundations have long been overthrown.

This is a medieval tradition in American architecture which should be of some use to our architects and city planners; for it is a much more substantial matter than the building of perpendicular churches or Tudor country-houses in painfully archæological adaptations. If we wish to tie up with our colonial tradition we must recover more than the architectural forms: we must recover the interests, the standards, the institutions that gave to the villages and

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buildings of early times their appropriate shapes. To do much less than this is merely to bring back a fad which might as well be Egyptian as "colonial" for all the sincerity that it exhibits.





CHAPTER TWO

THE HERITAGE OF THE RENAISSANCE



## I

THE forces that undermined the medieval civilization of Europe sapped the vitality from the little centers it had deposited in America. What happened in the course of three or four centuries in Europe took scarcely a hundred years on this side of the Atlantic.

Economically and culturally, the village community had been pretty well self-contained; it scraped along on its immediate resources, and if it could not purchase for itself the "best of everything" it at least made the most of what it had. In every detail of house construction, from the setting of fireplaces to the slope of the roof, there were local peculiarities which distinguished not merely the Dutch settlements from the English, but which even characterized several settlements in Rhode Island that were scarcely a day's tramp apart. The limitation of materials, and the carpenter's profound ignorance of "style" made for freedom and diversity. It re-

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mained for the eighteenth century to erect a single canon of taste.

With the end of the seventeenth century the economic basis of provincial life shifted from the farm to the sea. This change had the same effect upon New England, where the village-community proper alone had flourished, that fur-trading had had upon New York: it broke up the internal unity of the village by giving separate individuals the opportunity by what was literally a "lucky haul," to achieve a position of financial superiority. Fishermen are the miners of the water. Instead of the long, watchful care that the farmer must exercise from planting time to harvest, fishing demands a sharp eye and a quick, hard stroke of work; and since what the Germans call *Sitzfleisch* is not one of the primary qualities of a free lad, it is no wonder that the sea weaned the young folks of New England away from the drudgeries of its boulder-strewn farms. With fishing, trading, and building wooden vessels for sale in foreign ports, riches poured into maritime New England; and what followed scarcely needs an explanation.

These villages ceased to be communities of farmers, working the land and standing squarely on their

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own soil: they became commercial towns which, instead of trading for a living, simply lived for trade. With this change, castes arose; first, the division between the poor and the rich, and then between craftsmen and merchants, between the independent workers and the menials. The common concerns of all the townsfolk took second rank: the privileges of the great landlords and merchants warped the development of the community. Boston, by the middle of the eighteenth century, was rich in public buildings, including four schoolhouses, seventeen churches, a Town House, a Province House, and Faneuil Hall—a pretty large collection for a town whose twenty thousand inhabitants would scarcely fill a single block of tenements in the Bronx. But by this time a thousand inhabitants were set down as poor, and an almshouse and a workhouse had been provided for them.

With the rise of the merchant class, the industrial guild began to weaken, as it had weakened in Europe during the Renaissance. For about a hundred years the carpenter-builder continued to remain on the scene, and work in his forthright and painstaking and honest manner; but in the middle of the eighteenth century he was joined, for the

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first time, by the professional architect, the first one being probably Peter Harrison, who designed the Redwood Library, which still stands in Newport. Under competition with architects and amateurs of taste, the carpenter-builder lost his position as an independent craftsman, building intelligently for his equals: he was forced to meet the swift, corrosive influences brought in from foreign lands by men who had visited the ports of the world; and he must set his sails in order to catch the new winds of fashion.

What were these winds, and what effect did they have upon the architecture of the time?

Most of the influences that came by way of trade affected only the accent of architecture; the language remained a homely vernacular. In the middle of the eighteenth century China sent over wall-paper; and in the Metropolitan Museum there is an American lacquered cabinet dated as early as 1700, decorated with obscure little Chinese figures in gilded gesso. "China" itself came in to take the place of pewter and earthenware in the finer houses; while in the gardens of the great manors, pavilions and pagodas, done more or less in the Chinese manner, were fashionable. Even Thomas

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Jefferson, with his impeccably classical taste, designed such a pavilion for Monticello before the Revolution.

This specific Chinese influence was part of that large, eclectic Oriental influence of the eighteenth century. The cultural spirit that produced Montesquieu's *Lettres Persanes* also led to the translation of the Chinese and Persian and Sanskrit classics, and by a more direct route brought home Turkish dressing-gowns, turbans, and slippers to Boston merchants. In Copley's painting of Nicholas Boylston, in 1767, these Turkish ornaments rise comically against the suggestion of a Corinthian pillar in the background; and this pillar recalls to us the principal influence of the time—that of classic civilization. This influence entered America first as a motif in decoration, and passed out only after it had become a dominating motive in life.

### II

The Renaissance was an orientation of the European mind towards the forms of Roman and Greek civilization, and towards the meaning of classical culture. On the latter side its impulse was plainly

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a liberating one: it delivered the human soul from a cell of torments in which there were no modulating interests or activities between the base satisfactions of the temporal life and the beatitudes of heaven. With the Renaissance the god-beast became, once again, a man. Moreover, just when the Catholic culture of Christendom was breaking down under the influence of heresy and skepticism, the classics brought to the educated men of Europe a common theme which saved them from complete intellectual vagrancy. The effect of classical civilization, on the other hand, was not an unmixed good: for it served all too quickly to stereotype in old forms a spirit which had been freshly reborn, and it set up a servile principle in the arts which has in part been responsible for the wreck of both taste and craftsmanship.

The first builders of the Renaissance, in Italy, were not primarily architects; they were rather supreme artists in the minor crafts; and their chief failing was, perhaps, that they wished to stamp with their personal imprint all the thousand details of sculpture, painting, and carving which had hitherto been left to the humble craftsman. Presently, the technical knowledge of the outward treatment of a build-



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ing became a touchstone to success; and a literal understanding of the products of antiquity took the place in lesser men of personal inspiration. The result was that architecture became more and more a thing of paper designs and exact archæological measurements; the workman was condemned to carry out in a faithful, slavish way the details which the architect himself had acquired in similar fashion. So the architect ceased to be a master-builder working among comrades of wide experience and travel: he became a Renaissance gentleman who merely gave orders to his servants.

Victor Hugo said in *Notre Dame* that the printing-press destroyed architecture, which had hitherto been the stone record of mankind. The real misdemeanor of the printing-press, however, was not that it took literary values away from architecture, but that it caused architecture to derive its value from literature. With the Renaissance the great modern distinction between the literate and the illiterate extends even to building; the master mason who knew his stone and his workmen and his tools and the tradition of his art gave way to the architect who knew his Palladio and his Vignola and his Vitruvius. Architecture, instead of striving to

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leave the imprint of a happy spirit on the superficies of a building, became a mere matter of grammatical accuracy and pronunciation; and the seventeenth-century architects who revolted from this regime and created the baroque were at home only in the pleasure gardens and theaters of princes. For the common run of architects, particularly in the northern countries, the Five Orders became as unchallengeable as the eighty-one rules of Latin syntax. To build with a pointed arch was barbarous, to build with disregard for formal symmetry was barbarous, to permit the common workman to carry out his individual taste in carving was to risk vulgarity and pander to an obsolete sense of democracy. The classics had, it is true, united Europe anew in a catholic culture; but alas! it was only the leisured upper classes who could fully take possession of the new kingdom of the mind. The Five Orders remained firmly entrenched on one side, the "lower orders" on the other.

Hereafter, architecture lives by the book. First it is Palladio and Vignola; then it is Burlington and Chambers; then, after the middle of the eighteenth century, the brothers Adam and Stuart's *Antiquities of Athens*. Simpler works with detailed pre-

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scriptions for building in the fashionable mode made their way in the late seventeenth century among the smaller fry of carpenters and builders; and they were widely used in America, as a guide to taste and technique, right down to the middle of the nineteenth century. It was by means of the book that the architecture of the eighteenth century from St. Petersburg to Philadelphia seemed cast by a single mind. We call the mode Georgian because vast quantities of such building was done in England, as a result of the general commercial prosperity of that country; but it was common wherever European civilization had any fresh architectural effort to make, and if we call this style "colonial" in America it is not to mark any particular lapse or lack of distinction.

The Renaissance in architecture had reached England at about the time of the Great Fire (1666), fully two generations after the Italian influence had made its way into English literature; and it came to America, as one might guess, about a generation later. It was left for Alexander Pope, himself a dutiful Augustan, to sum up the situation with classic precision to Lord Burlington, who had published Palladio's *Antiquities of Rome*:

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“You show us Rome was glorious, not profuse,  
And pompous buildings once were things of use.  
Yet shall, my lord, your just and noble rules  
Fill half the land with imitation fools;  
Who random drawings from your sheets shall take  
And of one beauty many blunders make.”

These lines were a warning and a prophecy. The warning was timely; and the prophecy came true, except in those districts in which the carpenter continued to ply his craft without the overlordship of the architect.

### III

The first effect of the Renaissance forms in America was not to destroy the vernacular but to perfect it; for it provided the carpenter-builder, whose distance from Europe kept him from profiting by the spirited work of his forbears, with a series of ornamental motifs. New England, under the influence of an idol-breaking Puritanism, had been singularly poor in decoration, as I have already observed: its modest architectural effects relied solely on mass, color, and a nice disposition of parts. In its decorative aspects medievalism had left but a trace in

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America: the carved grotesque heads on the face of the Van Cortlandt Mansion in New York, and the painted decorations in some of the older houses and barns among the Pennsylvania Dutch pretty well complete the tally.

Classical motifs served to fill the blank in provincial architecture. As long as the carpenter worked by himself, the classic influence was confined to little details like the fanlights, the moldings, the pillars of the portico, and so on. In the rural districts of New England, from Maine to Connecticut, and in certain parts of New York and New Jersey and Pennsylvania, the carpenter keeps on building in his solid, traditional manner down to the time that the jig-saw overwhelms a mechanically hypnotized age; and even through the jig-saw period in the older regions, the proportions and the plan remained close to tradition. The classical did not in fact supplant the vernacular until the last vestiges of the guild and the village-community had passed away, and the economic conditions appropriate to the Renaissance culture had made their appearance.

The dwelling house slowly became more habitable during this period: the skill in shipbuilding which every sheltered inlet gave evidence of was carried

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back into the home, and in the paneling of the walls and the general tidiness and compactness of the apartments, a shipshape order comes more and more to prevail. The plastered ceiling makes its appearance, and the papered wall; above all, white paint is introduced on the inside and outside of the house.

Besides giving more light, this innovation surely indicates that chimney flues had become more satisfactory. Paint was no doubt introduced to keep the torrid summer sun from charring the exposed clapboards; and white paint was used, despite the expense of white lead, for the reason that it accorded with the chaste effect which was inseparable in the eighteenth-century mind from classic precedent.

Indeed, the whiteness of our colonial architecture is an essential characteristic; it dazzled Dickens on his first visit to America, and made him think that all the houses had been built only yesterday. The esthetic reason for delighting in these white colonial farmhouses is simple: white and white alone fully reflects the surrounding lights; white and white alone gives a pure blue or lavender shadow against the sunlight. At dawn, a white house is pale pink and turquoise; at high noon it is clear yellow and lavender-blue; in a ripe sunset it is orange and

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purple; in short, except on a gray day it is anything but white. These old white houses, if they seem a little sudden and sharp in the landscape, are at least part of the sky: one finds them stretched on a slight rise above the highroad like a seagull with poised wings, or a cloud above the treetops. Were anything needed to make visible the deterioration of American life which the nineteenth century brought with it, the habit of painting both wood and brick gray should perhaps be sufficient.

### III

If the architecture of the early eighteenth century in America is a little prim and angular, if it never rises far above a sturdy provincialism, it is not without its own kind of interest; and Faneuil Hall, for example, is not the worst of Boston's buildings, though it is overshadowed by the great utilitarian hulks that line the streets about it. By studying the classical forms at one remove, the builders of the eighteenth century in America had the same kind of advantage that Wren had in England. Wren's "Renaissance" churches, with their box-like naves and their series of superimposed orders for

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steeple, had no parallel, so far as I am aware, in Italy, and certainly had no likeness to anything that had been built in classic times: they were the products of a playful and original fancy, like the mermaid. Mere knowledge, mere imitation, would never have achieved Renaissance architecture; it was the very imperfection of the knowledge and discipleship that made it the appropriate shell of its age. Coming to America in handbooks and prints, chastely rendered, the models of antiquity were, down to the Revolution, followed just so far as they conveniently served. Instead of curbing invention, they gave it a more definite problem to work upon.

It was a happy accident that made the carpenter-builders and cabinet makers of America see their China, their Paris, their Rome through a distance, dimly. What those who admire the eighteenth century style do not, perhaps, see is that an accident cannot be recovered. However painstakingly we may cut the waistcoat, the stock, the knee-breeches of an eighteenth-century costume, it is now only a fancy dress: its "moment" in history is over. The same principle holds true for Georgian or colonial architecture, even more than it does for that of the seventeenth century; for one might, indeed, con-



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ceive of a breakdown in the transportation system or the credit system which would force a builder to rely for a while upon the products of his own region; whereas, while our civilization remains intact there are a hundred handbooks, measured drawings, and photographs which make a naïve recovery of antiquity impossible.

Once we have genuinely appreciated the influence that created early colonial architecture, we see that it is irrecoverable: what we call a revival is really a second burial. All the king's horses and all the king's men have been hauling and tugging vigorously during the last fifty years to bring back the simple beauties and graces of the colonial dwelling, and the collectors' hunt for the products of the Salem, Newburyport and Philadelphia cabinetmakers is a long and merry one; but the only beneficent effect of this movement has been the preservation of a handful of antiquities, which would otherwise have been impiously torn down. What we have built in the colonial mode is all very well in its way: unfortunately, it bears the same relation to the work of the late seventeenth and early eighteenth centuries that the Woolworth Building bears to the guild halls of the Middle Age, or the patriotism of the National

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Security League to the principles of Franklin and Jefferson. Photographic accuracy, neatly touched up—this is its capital virtue, and plainly, it has precious little to do with a living architecture. Like the ruined chapel in *The Pirates of Penzance*, our modern colonial houses are often attached to ancestral estates that were established—a year ago; and if their occupants are “descendants by purchase,” what shall we say of their architects?

**CHAPTER THREE**  
**THE CLASSICAL MYTH**



## I

THE transformation of European society and its material shell that took place during the period we call the Renaissance is associated with the break-up of the town economy and its replacement by a mercantile economy devoted to the advantage of the State. Along with this goes the destruction of the village community, and the predominance in social affairs of a landholding oligarchy who have thrown off feudal responsibilities while they have retained most of the feudal privileges, and a merchant class, buttressed by riches derived from war, piracy, and sharp trade.

America reproduced in miniature the changes that were taking place in Europe. Because of its isolation and the absence of an established social order, it showed these changes without the blur and confusion that attended them abroad.

It is sometimes a little difficult to tell whether the classical modes of building were a result of these changes in society or, among other things, an

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incentive to them; whether the classical frame fitted the needs of the time, or whether men's activities expanded to occupy the idolum that had seized their imagination. At any rate, the notion that the classical taste in architecture developed mainly through technical interests in design will not hold; for the severely classical shell arose only in regions where the social conditions had laid a foundation for the classical myth.

The first development of the grand style in the American renaissance was in the manors of Virginia and Maryland. It came originally through an imitation of the country houses of England, and then, after the Revolutionary War, it led to a direct adaptation of the Roman villa and the Greek temple. One does not have to go very deep to fetch up the obvious parallel between the land-monopoly and slavery that prevailed in the American manors and the conditions that permitted the Roman villa itself to assume its stately proportions; nor need one dwell too long upon the natural subordination, in this regime, of the carpenter-builder to the gentleman-architect. "In the town palaces and churches," as Mr. Fiske Kimball justly says, "there was a strong contradiction between modern conditions and an-

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cient forms, so that it was only in the country that Palladio's ideas of domestic architecture could come to a clear and successful expression. These monuments, since so much neglected, served in Palladio's book expressly to represent the 'Antients' designs of country-houses. . . .'"

At his death, Robert Carter, who had been Rector of the College, Speaker of the Burgesses, President of the Council, Acting Governor of Virginia, and Proprietor of the Northern Neck, was described in the *Gentleman's Magazine* of 1732 as the possessor of an estate of 300,000 acres of land, about 1,000 slaves, and ten thousand pounds. Pliny the Younger might well have been proud of such an estate. On a substantial basis like this, a Palladian mansion was possible; and up and down the land, wherever the means justified the end, Palladian mansions were built.

The really striking thing about the architecture of Manorial America with its great dignity and its sometimes striking beauty of detail or originality of design—as in the staircase at Berry Hill which creates a flaring pattern like butterfly's wings—the striking thing is the fact that the work is not the product of a specialized education; it is rather the

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outcome of a warm, loving, and above all intelligent commerce with the past, in the days before Horseback Hall had become as aimless and empty as Heartbreak House. Mr. Arthur T. Bolton, the biographer of the brothers Adam, has exhibited letters from Robert Adam's patrons in England which mark their avid and precise interest in classical forms; and without doubt a little digging would uncover similar examples in America.

These educated eighteenth-century gentlemen, these contemporaries of "Junius" and Gibbon, who had read Horace and Livy and Plutarch, had one foot in their own age, and the other in the grave of Rome. In America, Thomas Jefferson exemplified this whole culture at its best and gave it a definite stamp: he combined in almost equal degrees the statesman, the student, and the artist. Not merely did Jefferson design his own Monticello; he executed a number of other houses for the surrounding gentry—Shadwell, Edgehill, Farrington—to say nothing of the Virginia State Capitol and the church and university at Charlottesville. It was Jefferson who in America first gave a strict interpretation to classicism; for he had nothing but contempt for the free, Georgian vernacular which was making its



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way among those who regarded the classical past as little more than a useful embellishment.

The contrast between the classical and the vernacular, between the architecture of the plantation and the architecture of the village, between the work of the craftsman, and the work of the gentleman and the professional architect, became even more marked after the Revolutionary War. As a result of that re-crystallization of American society, the conditions of classical culture and classical civilization were for a short time fused in the activities of the community, even in the town. One may express the transformation in a crude way by saying that the carpenter-builder had been content with a classical finish; the architects of the early republic worked upon a classical foundation. It was the Revolution itself, I believe, that turned the classical taste into a myth which had the power to move men and mold their actions.

The merchant who has spent his hours in the counting house and on the quay cannot with the most lofty effort convert himself into a classical hero. It is different with men who have spent long nights and days wrangling in the State House, men who have ridden on horseback through a campaign, men

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who have plotted like Catiline and denounced like Cicero, men whose daily actions are governed with the fine resolution of a Roman general or dictator. Unconsciously, such men want a stage to set off and magnify their actions. King Alfred can perhaps remain a king, though he stays in a cottage and minds the cakes on the griddle; but most of us need a little scenery and ritual to confirm these high convictions. If the tailors had not produced the frock-coat, Daniel Webster would have had to invent one. The merchant wants his little comforts and conveniences; at most, he desires the architect to make his gains conspicuous; but the hero who has drawn his sword or addressed an assembly wants elbow room for gestures. His parlor must be big enough for a public meeting, his dining room for a banquet. So it follows that whereas under pre-Revolutionary conventions even civic buildings like Independence Hall in Philadelphia are built on a domestic scale, the early republican architecture is marked by the practice of building its domestic dwellings on a public scale. The fine houses of the early republic all have an official appearance; almost any house might be the White House.

Even when Dickens made his first visit to America,

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the classical myth and the classical hero had not altogether disappeared: one has a painful memory of the "mother of the modern Gracchi," and one sees how the republican hero had been vulgarized into a Jacksonian caricature like General Cyrus Choke. For a whole generation the classical myth held men in its thrall; the notion of returning to a pagan polity, quaintly modified by deism, was a weapon of the radical forces in both America and France. Jean Jacques himself preached the virtues of Sparta and Rome in *Le Contrat Social*, as well as the state of nature which he praised in *Emile*; and, in general, "radicalism" associated itself with the worship of rule and reason, as opposed to the caprice, the irrationality, the brute traditionalism of what the children of that age then characterized as "Gothic superstition." Almost within his lifetime Washington became *Divus Cæsar*, and if a monument was not built to him immediately, a city was named after him, as Alexandria had been named after Alexander. Did not the very war-veterans become the Society of the Cincinnati; did not the first pioneers on the westward march sprinkle names like Utica and Ithaca and Syracuse over the Mohawk trail; and did not a few ex-soldiers go back to their

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Tory neighbor's plow? As Rome and Greece embodied the political interests of the age, so did classical architecture provide the appropriate shell. Even those who were not vitally touched by the dominant interests of the period were not immune to the fashion, once it had been set.

### II

In New England, not unnaturally, the influence of the merchant prevailed in architecture for a longer time, perhaps, than it did elsewhere. Samuel McIntire, a carver of figureheads for ships and moldings for cabins, provided an interior setting in the fashion of Robert Adam, which enabled the merchant of Salem to live like a lord in Berkeley Square; and Bulfinch, a merchant's son, began by repairing his father's house, went on a grand tour of Europe, and returned to a lucrative practice which included the first monument on Bunker Hill, and the first theater opened in Boston. Under McIntire's assiduous and scholarly hands, the low-lying traditional farmhouse was converted into the bulky square house with its hipped roof, its classical pilasters, its frequently ill-proportioned cupola, its "captain's

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walk," or "widow's walk." The merchant with his eye for magnitude lords it over the farmer with his homely interest in the wind and the weather; and so McIntire, the last great figure in a dying line of craftsmen-artists, is compelled to make up by wealth of ornament a beauty which the earlier provincial houses had achieved by adaptation to the site without, and to subtlety of proportion within. The standard of conspicuous waste, as Mr. Thorstein Veblen would call it, spread from the manor to the city mansion.

Throughout the rest of the country, the pure classical myth created the mold of American architecture, and buildings that were not informed by this myth attempted some sort of mimicry, like the mansion Squire Jones built for Marmaduke Temple in Cooper's *The Pioneers*. There are churches standing in New Jersey and New York, for example, built as late as 1850, which at a distance have the outlines and proportions of classic buildings, either in the earlier style of Wren, or in the more severe and stilted Greek manner favored by a later generation. It is only on closer inspection that one discovers that the ornament has become an illiterate reminiscence; that the windows are bare openings; that

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the orders have lost their proportions, and that, unlike the wandering mechanic, who "with a few soiled plates of English architecture" helped Squire Jones, the builder could no longer pretend to talk learnedly "of friezes, entablatures, and particularly of the composite order." Alas for a bookish architecture when the taste for reading disappears!

### III

The dominant designs of the early republican period proceeded directly or indirectly from such books as Stuart's *Antiquities of Athens*, and from such well-known examples of temple architecture in southern Europe as the *Maison Carrée* at Nîmes. In one sense, there was a certain fitness in adapting the Greek methods of building to America. Originally, the Greek temple had probably been a wooden building. Its columns were trees, its cornices exposed beams; and the architect's new opportunity to fabricate mightily in wood may have furnished an extra incentive to the erection of these colossal buildings. The fact that the Greek mode in America was well under way before the first example of it

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had appeared in Edinburgh, London, or Paris, shows perhaps that time and place both favored its introduction on this side of the Atlantic: for the availability of certain materials often, no doubt, directs the imagination to certain forms.

On the whole, however, the Greek temple precedent was a bad one. For one thing, since the Greek *cella* had no source of light except the doorway, it was necessary to introduce modifications in the elevation, and to break up the interior; and it was only in the South that the vast shadowed retreats formed by porches and second-story balconies proved a happy adaptation to the climate. Again: Greek architecture was an architecture of exteriors, designed for people who spent the greater part of the year out of doors. With no temple ritual comparable to the services of the church or cathedral, the Greeks lavished their attention upon externals, and as a great admirer of the Greeks, Sir Reginald Blomfield well says, "may have been more successful with the outside of their buildings than with the inside." To fail with the interior in a northern climate is to fail with the essentials of a habitation; and these vast rooms, for all their ornament, too often remained bleak.

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Even on the esthetic side, the Greek style of building was not a full-blown success. With all their strict arrangement of the classic orders, with all their nice proportions, the muted white exteriors resembled a genuine Greek temple in the way that a sepia photograph would represent a sunrise—the warm tones, the colors, the dancing procession of sculptures were absent; it was a thinned and watered Greece that they called to mind. Indeed, the disciples of the Age of Reason and white perukes would have been horrified, I have no doubt, at the “barbarism” of the original Greek temples, as they would doubtless also have been at the meanness of the dwellings in which Pericles or Thucydides must have lived. Once the temple-house ceased to be a stage upon which the myth of classicism could be enacted, it ceased also to be a home. For who wishes to live in a temple? That is a spiritual exercise we do not demand even of a priest. Small wonder that the temple lingered longest in the South, where, down to the Civil War, gangs of slaves supported the dignity of the masters and a large household diminished the chilly sense of solitude.

It was in public architecture that the early republic succeeded best, and it was here that its



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influence lingered longest, for down to 1840 well-designed buildings in the classic mode, like the Sub-Treasury building in New York, were still put up. The work of McComb in New York, Hoadley in Connecticut, Latrobe in Pennsylvania and Maryland, to mention only a few of the leading architects, represents the high-water mark of professional design in America; and the fact that in spite of the many hands that worked upon it the Capitol at Washington is still a fairly coherent structure is a witness to the strength of their tradition. For all its minor felicities, however, we must not make the mistake of the modern revivalists, like Mr. Fiske Kimball, who urge the acceptance of the classic tradition in America as a foundation for a general modern style. Form and function are too far divorced in the classic mode to permit the growth of an architecture which will proceed on all fours in houses and public buildings and factories and barns; moreover, there are too many new structures in the modern world which the builders of Rome or the Renaissance have not even dimly anticipated. In medieval building the town hall is a different sort of building from the cathedral: using the same elements, perhaps, it nevertheless contrives an altogether different effect. In the

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architecture of the early republic, on the other hand, the treasury building might be a church, and the church might be a mansion, for any external differentiation one can observe—in fact, the only ecclesiastical feeling that goes with the churches of the time is a cold deism, or an equally cold Protestant faith which has lost entirely the memories and associations of the intervening centuries. This sort of architecture achieves order and dignity, not by composing differences, but by canceling them. Its standards do not inhere in the building, but are laid on outside of it. When the purpose of the structure happens to conform to the style, the result may be admirable in every way. When it does not happen to conform the result is tedious and banal; and, to tell the truth, a great deal of the architecture of the early republic is tedious and banal.

### IV

One further effect of the classic mode has still to be noted: the introduction of formal city design, by the French engineer, Major L'Enfant, in the laying out of Washington. Stirred by the memory of

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the grand design of Paris under Louis XIV, with its radiating avenues that cut through the city in the way that riding lanes cut through the hunting forest, L'Enfant sought to superimpose a dignified pattern upon the rectangular plan provided by the commissioners of Washington. By putting the major public buildings in key positions, by providing for a proper physical relation between the various departments of the government, by planning spacious avenues of approach, culminating in squares, triangles, and round-points, Major L'Enfant gave great dignity to the new capital city, and even though in the years that followed his plan was often ignored and overridden, it still maintained a monumental framework for the administrative buildings of the American State.

Unfortunately, if Washington has the coherence of a formal plan, it also has its abstractness: contrived to set off and serve the buildings of the government, it exercised no control over domestic building, over business, over the manifold economic functions of the developing city. The framework was excellent, if cities could live by government alone. By laying too much stress on formal order, the exponents of classic taste paved the way for the all

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too formal order of the gridiron plan, and since the gridiron development was suited to hasty commercial exploitation, while the mode of Washington was not, it was in this mold that the architecture of the nineteenth century was cast.

Within a short while after its introduction in New York in 1811 the effects of the rectangular streets and rectangular lots became evident; whereas the prints of New York before 1825 show a constant variety in the elevation and layout of houses, those after this date resemble more and more standardized boxes. Long monotonous streets that terminated nowhere, filled by rows of monotonous houses—this was the net contribution of the formal plan. Classical taste was not responsible for these enormities—but on the whole it did nothing to check them, and since the thrifty merchants of New York could not understand L'Enfant's plan for Washington, they seized upon that part of it which was intelligible: its regularity, its appearance of order.

With the new forces that were at work on the American scene, with the disintegration of classical culture under the combined influence of pioneer enterprise, mechanical invention, overseas commerce, and the almost religious cult of utilitarianism, all

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this was indeed inevitable. What happened to the proud, Roman-patterned republic of 1789 is a matter of common knowledge. Benjamin Latrobe, the British architect who contributed so much to the Capitol at Washington—including a new order of corn stalks and tobacco leaves—was a witness to the disintegration of the age and the dissolution of its world of ideas; and there is a familiar ring to his commentary upon it:

“I remember [he says in his autobiography] the time when I was over head and ears in love with Man in a State of Nature. . . . Social Compacts were my hobbies; the American Revolution—I ask its pardon, for it deserves better company—was a sort of dream of the Golden Age; and the French Revolution was the Golden Age itself. I should be ashamed to confess all this if I had not a thousand companions in my kaleidoscopic amusement, and those generally men of ardent, benevolent, and well-informed minds and excellent hearts. Alas! experience has destroyed the illusion, the kaleidoscope is broken, and all the tinsel of scenery that glittered so delightfully is translated and turned to raggedness. A dozen years’ residence at the Republican court of

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Washington had affected wonderfully the advance of riper years."

Major L'Enfant's plan for Washington was the last gasp, it seems to me, of the classical order; Jefferson's University of Virginia was perhaps its most perfect consummation, for Jefferson had planned for the life of the institution as well as for the shell which was to contain it. Before the nineteenth century was long under way men's minds ceased to move freely within the classical idolum; and by 1860 the mood was obliterated and a large part of the work had been submerged or destroyed. The final ironic commentary upon the dignity and austerity of the earlier temples is illustrated in a house in Kennebunkport, Maine; for there the serene, pillared façade is broken up in the rear by a later, and alas! a necessary addition: a two-story bow-window projected far enough beyond the eaves to give a little light to the occupants of the rooms!

In sum, there was a pathetic incompatibility in this architecture between need and achievement, between pretensions and matter-of-fact—a rigid opposition to common sense that a vernacular, however playful, would never countenance. These temples

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were built with the marmoreal gesture of eternity;  
they satisfied the desire and fashion of the moment;  
and today their ghosts parade before us, brave but  
incredible.





CHAPTER FOUR  
THE DIASPORA OF THE PIONEER



FROM the standpoint of architecture, the early part of the nineteenth century was a period of disintegration. The gap between sheer utility and art, which the Renaissance had emphasized, was widened with the coming of machinery. That part of architecture which was touched by industrialism became crude beyond belief: the new mills and factories were usually packing boxes, lacking in light and ventilation, and the homes of the factory workers, when they were not the emptied houses of merchants and tradesmen, made to serve a dozen families instead of the original one, were little more than covered pens, as crowded as a cattle market. At the same time that the old forms were undermined by the new methods of mechanical production, a sentimental longing to retain those forms, just because they were old, seized men's minds; and so industrialism and romanticism divided the field of architecture between them.

It was no accident that caused romanticism and industrialism to appear at the same time. They

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were rather the two faces of the new civilization, one looking towards the past, and the other towards the future; one glorifying the new, the other clinging to the old; industrialism intent on increasing the physical means of subsistence, romanticism living in a sickly fashion on the hollow glamour of the past. The age not merely presented these two aspects; it sought to enjoy each of them. Where industrialism took root, the traditions of architecture were disregarded; where romanticism flourished, on the other hand, in the mansions, public buildings, and churches, architecture became capricious and absurd, and it returned to a past that had never existed. Against the gross callousness which a Bounderby exhibited toward beauty and amenity, there was only the bland piety of a Pecksniff.

The dream that is dying and the dream that is coming to birth do not stand in sequence, but mingle as do the images in a dissolving view; and during the very years that the architecture of the Renaissance, both in Europe and America, achieved new heights of formal design, the first factories were being planted in Staffordshire and Yorkshire, the Duke of Bridgewater built his famous canal, and Horace Walpole designed his "Gothic" mansion on Straw-

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berry Hill. The coincidence of industrialism and romanticism is just as emphatic in America as in England; and it is not without historic justice that the architect who in 1807 designed the chapel of St. Mary's Seminary in Baltimore, after the Gothic fashion, successfully introduced a steam pumping system in Philadelphia's waterworks. While the industrial buildings of the period represented nothing but a lapse from the current vernacular, due to haste and insufficient resources, romantic architecture was a positive influence; and it will perhaps best serve our purpose to examine the romantic heritage in its pristine form, rather than in the work of disciples like Latrobe, whose American practice is dated about two generations later.

The author of *The Castle of Otranto* had a perverse and wayward interest in the past; and the spirit he exhibited in both his novel and his country home was typical of the romantic attitude everywhere. What attracted Walpole to the Gothic style was little more than the phosphorescence of decay: he summoned up the ghosts of the Middle Ages but not the guilds; and instead of admiring the soundness of medieval masonry, those who followed directly in his path were affected rather by the spectacle of

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its dilapidation, so that the production of authentic ruins became one of the chief efforts of the eighteenth-century landscape gardener.

It is not a great step from building a ruin to building a mansion that is little better than a ruin. While Walpole defended Strawberry Hill by saying he did not aim to make his house so Gothic as to exclude convenience, it happened again and again that the picturesque was the enemy of simple honesty and necessity; and just as Walpole himself in his refectory used wall paper that imitated stucco, so did other owners and builders use plaster and hangings and wall paper and carpet to cover up defects of construction. Towers that no one ever climbed, turrets that no one could enter, and battlements that no one rose to defend, took the place of the classic orders. The drawbridge-and-moat that embellished Mr. Wemmick's villa in *Great Expectations* was not a wild conceit of Dickens but a relic of Walpole and his successors.

As a disguise for mean or thoughtless workmanship, the application of antique "style" was the romantic contribution to architecture; and it served very handily during the period of speculative building and selling that accompanied the growth of the

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new industrial towns. Even where style did not conceal commercial disingenuousness, it covered up a poverty of imagination in handling the elements of a building. Gothic touches about doors and the exterior of windows, and a heap of bric-a-brac and curios on the inside, softened the gauntness and bareness of this architecture, or rather, distracted attention from them. Curiosity was the dominant mood of the time, acquisitiveness its principal impulse, and comfort its end. Many good things doubtless came out of this situation; but architecture was not one of them.

### II

Modern industrialism began to take root in America after the War of Independence, and its effect was twofold: it started up new villages which centered about the waterfall or the iron mine and had scarcely any other concern than industry; at the same time, by cutting canals which tapped the interior, it drew life away from the smaller provincial ports and concentrated commerce and population in great towns like Boston, Philadelphia, and New York. In New England, as in the English

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Cotswolds from Whitney to Chalford, the mechanical regime was humanized by the presence of an older civilization, and the first generation of factory hands were farmers' lads and lasses who neither lost nor endangered their independence; but where the factory depended upon paupers or immigrants, as it did in the big towns and in some of the unsettled parts of the country, the community relapsed into a barbarism which affected the masters as well as the hands. There was more than a difference in literary taste between the Corinthians and Bethels named by an earlier generation and the Mechanicsvilles that followed them.

The chief watchwords of the time were progress and expansion. The first belonged to the pioneer in industry who opened up new areas for mechanical invention and applied science; the second, to the land pioneer; and between these two resourceful types the old ways, were they good or bad, were scrapped, and the new ways, were they good or bad, were adopted. Both land pioneering and industrial pioneering were essentially subdivisions of one occupation, mining; and, following the clue opened by Messrs. Geddes and Branford, one may say with Professor Adshead that the nineteenth century



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witnessed "the great attack of the miner on the peasant."

Mechanical industry owes its great development and progress to the work of the woodman and the miner: the first type of worker takes the bent sapling and develops the lathe or "bodger" which is still to be found in the remote parts of the Chiltern Hills in England, while from the mine itself not merely comes the steam engine, first used for pumping out water, but likewise the railway. The perpetual *débris* amid which the miner lives forms a capital contrast with the ordered culture, the careful weeding and cutting, of field and orchard: almost any sort of habitation is an advance upon the squalor of the pithead; and it is not a mere chance that the era devoted to mining and all its accessory manufactures was throughout the western world the dingiest and dirtiest that has yet befouled the earth. Choked by his own *débris*, or stirred by the exhaustion of minerals, the miner's community runs down—and he departs.

The name pioneer has a romantic color; but in America the land pioneer mined the forests and the soil, and the industry pioneer almost as ruthlessly mined the human resources, and when the pay-dirt

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got sallow and thin, they both moved on. Long-fellow's allusion to the "bivouac of life" unconsciously points to the prevailing temper; for even those who remained in the older American centers were affected by the pioneer's malaise and unsettlement; and they behaved as if at any moment they might be called to the colors and sent westward.

Beside the vivid promises of Mechanical Progress and Manifest Destiny the realities of an ordered society thinned into a pale vapor. In many little communities Mechanical Societies were formed for the propagation of the utilitarian faith: industrialism with its ascetic ritual of unsparing work, its practice of thrift, its renunciation of the arts, gathered to itself the religious zeal of Protestantism. The erection of factories, the digging of canals, the location of furnaces, the building of roads, the devising of inventions, not merely exhausted a great part of the available capital; even more, it occupied the energy and imagination of the more vigorous spirits. Two generations before, Thomas Jefferson could lay out and develop the estate of Monticello; now, with many of Jefferson's capacities, Poe could only dream about the fantastic Domain of Arnheim. The society around Poe had no more use for an

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architectural imagination than the Puritans had for decorative images; the smoke of the factory-chimney was incense, the scars on the landscape were as the lacerations of a saint, and the mere multiplication of gaunt sheds and barracks was a sign of progress, and therefore an earnest of perfection.

Did ever so many elements of disintegration come together at one time and place before? The absence of tradition and example raised enough difficulties in Birmingham and Manchester and Lyons and Essen; but in America it was accentuated by the restless march of those pioneers who, in the words of a contemporary economist, "leave laws, education and the arts, all the essential elements of civilization, behind them." What place could architecture fill in these squatter communities? It could diminish the hardships of living; it could grease the channels of gain; and it could demolish or "improve" so much of the old as it could not understand, as Bulfinch's Court House in Newburyport was improved, and as many a fine city residence was swept away under the tide of traffic.

These were the days when the log cabin flourished; but it did not remain long enough in place to become the well-wrought and decorative piece of rustic

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architecture that the better sort of peasant hut, done with the same materials, became in Russia. A genuine architectural development might have led from a crude log cabin to a finished one, from a bare cabin to an enriched and garnished one, and so, perhaps, in the course of a century or so, to a fine country architecture and a great native art of wood carving comparable to that of the Russian sculptors today. In America, however, the pioneer jumped baldly from log cabin to White House, or its genteel and scroll-sawed equivalent; and the arts inherent in good building never had a chance to develop. With the animus of the miner in back of everything the pioneer attempted, the pioneer's architecture was all false-work and scantling.

### III

The first contribution to the pioneer's comfort was Franklin's ingenious stove (1745). After that came a number of material appliances. Central heating gave the American house a Roman standard of comfort, the astral-oil lamp captivated Edgar Poe; and cooking stoves, gas-lighting, permanent bathtubs, and water-closets made their way into the

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better sort of house in the Eastern cities before the middle of the nineteenth century. In the development of the city itself, the gridiron plan was added to the list of labor-saving devices. Although the gridiron plan had the same relation to natural conditions and fundamental social needs as a paper constitution has to the living customs of a people, the simplicity of the gridiron plan won the heart of the pioneer. Its rectangular blocks formed parcels of land which he could sell by the front foot and gamble with as easily as if he were playing cards, and deeds of transfer could be drawn up hastily with the same formula for each plot; moreover, the least competent surveyor, without thought or knowledge, could project the growth of New Eden's streets and avenues into an interminable future. In nineteenth-century city planning the engineer was the willing servant of the land monopolist; and he provided a frame for the architect—a frame in which we still struggle today—where site-value counted for everything, and sight-value was not even an afterthought.

In street layout and land subdivision no attention was paid to the final use to which the land would be put; but the most meticulous efforts were made to

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safeguard its immediate use, namely, land-speculation. In order to further this use hills were graded, swamps and ponds filled, and streets laid out before these expenditures could be borne by the people who, in the end, were to profit by or suffer from them. It was no wonder that the newer towns like Cincinnati, St. Louis, and Chicago by the middle of the century had forfeited to the gambler in real estate, to pay the cost of street improvements, generous tracts of land which the original planners had set aside as civic centers. Planned by men who still retained some of the civic vision of the early republic, the commercial city speedily drifted into the hands of people who had no more civic scruples than the keeper of a lottery.

The gridiron plan had one other defect which was accounted a virtue by the pioneer, and still is shared by those who have not profited by the intervening century's experience. With its avenues that encompassed swamps and wildernesses, with its future growth forecast for at least a hundred years, the complete city plan captivated the imagination. Scarcely any American town was so mean that it did not attempt to grow faster than its neighbor, faster perhaps than New York. Only by the accu-

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mulation of more and more people could its colossal city plan and its inflated land values be realized. If the older cities of the seaboard were limited in their attempts to become metropolises by the fact that their downtown sections were originally laid out for villages, the villages of the middle west labored under just the opposite handicap; they had frequently acquired the framework of a metropolis before they had passed out of the physical state of a village. The gridiron plan was a sort of hand-me-down which the juvenile city was supposed to grow into and fill. That a city had any other purpose than to attract trade, to increase land values, and to grow is something that, if it uneasily entered the mind of an occasional Whitman, never exercised any hold upon the minds of the majority of our countrymen. For them, the place where the great city stands *is* the place of stretched wharves, and markets, and ships bringing goods from the ends of the earth; that, and nothing else.

### IV

With business booming and vanishing, with people coming and going, with land continually changing

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hands, what encouragement was there for the stable achievements of architecture? In vain does the architect antic and grimace to conceal his despair; his business is to put on a front. If he is not a Pecksniff at heart, he will at any rate have to serve Mr. Veneering. A guide book of 1826 refers to a Masonic Hall "somewhat in the Gothic style"; and we can characterize all the buildings of the period by saying that they were "somewhat" like architecture—a little more than scenery, a little less than solids.

For a while it seemed as if the Gothic revival might give the prevailing cast to nineteenth-century building; for if this mode was adopted at first because it was picturesque and historic it was later reënforced by the conviction that it was a natural and scientific mode of construction, that it stood for growth and function, as against the arbitrary character of the classic work. The symbols of the organic world were rife in the thought of this period, for in the sphere of thought biology was supplanting physics, and Gothic architecture was supposed peculiarly to be in the line of growth, while that of the Renaissance cut across and, heretically, denied the principle of organic development. Unfortunately



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the process of disintegration had gone so far that no one current of thought had the power to dominate; and the Gothic style proved to be only the first of a number of discordant influences, derived from industry, from history, from archæology.

Indeed, the chief sign that bears witness to the disintegration of architecture during the formative days of the pioneer is eclecticism; but there is still another—the attempt to justify the industrial process by using solely the materials it had created in abundance. In discussing the plans for the Smithsonian Institution, Robert Dale Owen observed that “of late years a rival material, from the mine, seems encroaching on these [stone, clay, wood] and the next generation may see, arising on our continent, villages, or it may be cities, of iron.”

What Owen's generation actually did see, apart from sheet-iron façades and zinc cornices, was the Crystal Palace, which was built in New York in 1853 in imitation of London's exhibition hall of 1850. Ruskin described the original Crystal Palace, with sardonic justice, as a magnified conservatory; and that is about all that can be said for either building. As exercises in technique they doubtless

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taught many lessons to the iron masters and engineers; but they had scarcely anything to contribute to architecture. A later generation built the train sheds for their smoky railways on this pattern; but the precedent lingers today chiefly in subway kiosks and window-fronts, and even here it has created no fresh forms for itself—unless the blank expanse of a plate-glass window framed in metallic grilles can be called a fresh form.

The growth of eclecticism, on the other hand, had by the middle of the century given the American city the aspect of a museum and the American countryside a touch of the picture-book. Washington Irving's Sunnyside and the first Smithsonian building were in the predominant Gothic mode; but Poe described the mansion of a not altogether imaginary Arnheim as semi-Gothic, semi-Saracenic; and the old Tombs prison in New York got its name from the Egyptian character of its façade. Who can doubt that the design for a *Byzantine* cottage, shown in *The American Cottage Builder* (1854), was somewhere carried out?

Nettled by the criticism that America was not Europe, the pioneer determined to bring Europe to his doors. Relatively few American architects

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during the period, however, had been abroad, and still fewer had been there to any purpose; even men of culture and imagination like Hawthorne and Emerson were not at home in the physical environment of Europe, however intimate they were with its mind. The buildings that were erected under the inspiration of European tours only accentuated the barbarism of the American scene and the poverty of the architect's imagination.

A good part of our architecture today still exhibits the parvenu's uneasiness, and is by turns French, Italian, or more or less obsolete English; but we do not, perhaps, realize with what a difference; for photography and archæological research now make it possible to produce buildings which have all the virtues of the original except originality, whereas the earlier, illiterate development of foreign examples, rehearsed in memory, resulted in a conglomerate form which resembled nothing so much, perhaps, as P. T. Barnum's mermaid.

If the Crystal Palace represents the extreme of industrial art, Colonel Colt's Armsmear represents the opposite—untutored romanticism. Armsmear was built near Hartford, between 1855 and 1862. A writer in the *Art Journal* for 1876 calls this mansion

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a "characteristic type of the unique." It was a "long, grand, impressive, contradictory, beautiful, strange thing. . . . An Italian villa in stone, massive, noble, refined, yet not carrying out any decided principles of architecture, it is like the mind of its originator, bold and unusual in its combinations. . . . There is no doubt it is a little Turkish among other things, on one side it has domes, pinnacles, and light, lavish ornamentation, such as Oriental taste delights in. . . . Yet, although the villa is Italian and cosmopolitan, the feeling is English. It is an English home in its substantiality, its home-like and comfortable aspects."

It is, alas! impossible to illustrate in these pages this remarkable specimen of American architecture; but in a lecture on the Present and Future Prospects of Chicago (1846), I have discovered its exact literary equivalent, and it will sum up the crudity and cultural wistfulness of the period perhaps better than any overt description:

"I thank you [apologizes the lecturer] for the patience you have manifested on this occasion, and promise never more to offend in like manner, so long. I have now, as Cowper observes—

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‘Roved for fruit,

Roved far, and gathered much. . . .’

“And can, I think with Scott, surely say that—

‘To his promise just

Vich-Alpine has discharged his trust.’

“I propose now, gentlemen, to leave you at Carlangtoghford,

‘And thou must keep thee with thy sword.’

“Let me say to you on this occasion, as Campbell does on another:

‘Wave, Munich! all your banners wave!

And charge with all your chivalry.’

“And should you in the contest fall, remember with old Homer—

‘Such honours Ilion to her hero paid,

And peaceful slept the mighty Hector’s shade.’

“Allow me now to close in one of Scott’s beautiful strains:

‘Charge, Chester, charge! On, Stanley, on!

Were the last words of Marmion.’”

*That* was American architecture between 1820 and the Civil War—a collection of tags, thrown at random against a building. Architectural forms were brought together by a mere juxtaposition of

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materials, held in place by neither imagination nor logic. There are a number of honorable exceptions to this rule, for architects like Renwick, who designed St. Patrick's Cathedral, and Upjohn, who built Trinity Church, had a more sincere understanding of the conventional task; and by any standard of esthetic decency the old Gothic building of New York University, on Washington Square, was a far finer structure than the bulky office building that has taken its place. Nevertheless, this saving remnant does not alter the character of the great mass of work, any more than the occasionally excellent cast-iron balconies, brought over from the London of the Regency, alter the depressing character of the great mass of domestic building. In elevation and interior treatment, these ante-bellum buildings were all what-nots. Souvenirs of architecture, their forms dimly recall the monuments of the past without in any sense taking their place.

To tell the truth, a pall had fallen over the industrial city: contemporary writers in the 'forties and 'fifties speak of the filth and smoke, and without doubt the chocolate brownstone front was introduced as a measure of protective coloration. In this dingy environment, men turned to nature as a

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refuge against the soiled and bedraggled works of man's creation; and as the creeping factory and railroad train removed Nature farther from their doors, the park was introduced as a more convenient means of escape. The congested capitals of Europe had already learnt this lesson; traveled Americans, like William Cullen Bryant, brought it home; and Central Park, planned in 1853, was the first of the great landscape parks to serve as a people's pleasure. Conceived in contrast to the deflowered landscape and the muddled city, the park alone re-created the traditions of civilization—of man naturalized, and therefore at home, of nature humanized, and therefore enriched. And even today our parks are what our cities should be, and are not.

By 1860 the halcyon day of American civilization was over; the spirit had lingered in letters and scholarship, in the work of Parkman and Motley and Emerson and Melville and Thoreau, but the sun had already sunk below the horizon, and what seemed a promise was in reality an afterglow. By the time the Civil War came, architecture had recorded faithfully the social transformation; it was sullen, grim, gauche, unstable. While in almost every age architecture has an independent value to the spirit, so

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that we can rejoice in Chartres or Winchester even though we have abandoned the Roman faith, in the early industrial period architecture is reduced to a symptom. Romanticism had not restored the past, nor had industrialism made the future more welcome. Architecture wandered between two worlds, "one dead, the other powerless to be born."



**CHAPTER FIVE**  
**THE DEFEAT OF ROMANTICISM**



## I

BETWEEN 1860 and 1890, some of the forces that were latent in industrialism were realized in American architecture. Where the first pioneers had fared timidly, hampered by insufficient resources, the generation that had been stimulated by war industries and profiteering, by the discovery of petroleum and natural gas, by the spanning of the American continent and by cable communication with Europe, rioted over its new-found wealth.

“The Song of the Broad-Ax” still faintly lingered on the Pacific slopes; but the land pioneer was rapidly giving way to the pioneer in industry; and for perhaps the first time during the century, the surplus of capital outran the immediate demand for new plant and equipment. The Iron Age reached its peak of achievement in a series of great bridges, beginning with the Eads Bridge at St. Louis; and romanticism made a last stand. It will pay us, perhaps, to take one last look at the romantic effort,

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in order to see how impossible and hopeless was the task it set out to perform.

In England, the romantic movement in architecture had made the return to the Middle Ages a definite symbol of social reform: in Ruskin's mind it was associated with the restoration of a medieval type of polity, something like a reformed manor, while with Morris it meant cutting loose from the machine and returning to the meticulous handicraft of the town-guilds. In America, the romantic movement lacked these social and economic implications; and while it is not unfair to say that the literary expression of English romanticism was on the whole much better than the architecture, in the proportion that *The Stones of Venice* was better than the Ashmolean Museum or the Albert Memorial, the reverse is true on this side of the Atlantic.

Inarticulate as H. H. Richardson, the chief exponent of American romanticism, was, it seemed for a while as if he might breast the tide of mechanical industry and create for a good part of the scene a sense of stability and harmony which it had all too plainly lacked. In relation to his age, however, Richardson was in the biological sense a "sport"; surrounded by jerry-builders, who had degraded the

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craft of building, and engineers who ignored it, he was perhaps the last of the great medieval line of master-masons.

Richardson began his career in America directly after the Civil War. Almost the first of the new generation of Americans to be trained by the Ecole des Beaux Arts, he brought back to America none of those atrocious adaptations of the French Renaissance like the New York, Philadelphia, and Boston Post Offices. On the contrary, he had come under the influence of Viollet-le-Duc; and for about ten years he struggled with incongruous forms and materials in the anomalous manner known as Free Gothic. The end of this period of experiment came in 1872, when he received the commission for Trinity Church in Boston; and although it was not until ten years later that he saw any Romanesque buildings other than in photographs—for he had not traveled during his student-years in Paris—it was in this sturdy mode that he cast his best work. Richardson was not a decorator, but a builder: in going back to Romanesque precedent, with its round arches and massive stone members, he was following out a dictum of Viollet-le-Duc's: "only primitive sources supply the energy for a long career." Turn-

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ing away from "applied Gothic," Richardson started to build from the bottom up. So far had the art of masonry disappeared that in Trinity Church Richardson sometimes introduced struts and girders without any attempt to assimilate them in the composition; but as far as any single man could absorb and live with a vanished tradition, Richardson did.

The proof of Richardson's genius as a builder lies in the difference between the accepted drawings for Trinity Church and the finished building. His ideas altered with the progress of the work, and in almost every case the building itself is a vast improvement over the paper design. Moreover, in his capacity as master-mason, Richardson trained an able corps of craftsmen; and so pervasive was his influence that one still finds on houses Richardson never saw, the touches of delicate, leafy stone-carving he had introduced. With carving and sculpture, the other arts entered, and by his fine designs and exacting standards of work, Richardson elevated the position of the minor crafts, at the same time that he turned over unreservedly to men like John La Farge and Augustus St. Gaudens the major elements of decoration.

Probably most people who know Richardson's

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name vaguely associate him with ecclesiastical work; but Richardson's brand of romanticism was a genuine attempt to embrace the age, and in his long list of public works there are but five churches. If the Pittsburgh Court House and Trinity Church stand out as the hugest of his architectural conceptions, it is the smaller buildings that test the skill and imagination of the master, and the public libraries at North Easton, Malden, and Quincy, Mass., and some of the little railway stations in Massachusetts stand on an equally high level. Richardson pitted his own single powers against the barbarism of the Gilded Age; but, unlike his contemporaries in England, he did not turn his back upon the excellences of industrialism. "The things I want most to design," he said to his biographer, "are a grain-elevator and the interior of a great river-steamboat."

In short, Richardson sought to dominate his age. So nearly did he succeed that in a symposium on the ten finest buildings in America, conducted by an architectural journal in the 'eighties, Richardson was given five. This was no easy victory, and, to tell the truth, it was only a partial one. The case of the State Capitol at Albany, which Richardson

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and Eidlitz took in hand in 1878, after five million dollars had been squandered on it in the course of ten years' misconstruction, scarcely caricatures the conditions under which the arts struggled to exist. Begun in the style of the Roman Renaissance, the building under Richardson's impetuous touch began to take on Romanesque proportions, only to be legislated back into Renaissance by the offended law-givers!

William Morris Hunt, then at the height of his powers, was commissioned to paint two large mural compositions for the assembly chamber of this blessed building. So much time had been spent in mismanaging the structure that Hunt was given only two months to transfer his cartouche to the panels; but he worked heroically, and, as one of his biographers says, the work was a great triumph. Great, perhaps—but temporary! "The building had fallen into the hands of a political ring, and the poor construction was revealed in the leaking of the massive roof and the settling of the whole structure. Before ten years had passed, great portions of Hunt's paintings flaked off, and what remained was walled up behind the rebuilding necessary to avert utter ruin." In a period like this,



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Richardson's comparative success takes on heroic proportions.

### II

With the little eddies of eclecticism, with the rage for the Mansard roof, or the introduction of German Gothic, and, a little later, the taste for Queen Anne domesticity, there is scarcely any need to deal; they represented only the dispersion of taste and the collapse of judgment which marked the Gilded Age.

Up to the time of the Chicago World's Fair, Richardson had imitators, and they were not always mean ones. L. H. Buffington, in Minneapolis, had to his credit a number of buildings which would not, perhaps, have dishonored the master himself; but, as so often happens, the tags in Richardson's work were easier to imitate than his spirit and inventiveness; and the chief marks of the style he created are the all-too-solid courses of rough stone, the round arch, the squat columns, and the contrasts in color between the light granite and the dark sandstone or serpentine. Mr. Montgomery Schuyler, an excellent architectural critic, once said, not without reason, that Richardson's houses were not defensible except in a military sense; but one is tempted to read

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into these ponderous forms partly the architect's unconscious desire to combat the infirmity and jerry-building of his lower contemporaries, and partly his patron's anxiety to have a seat of refuge against the uneasy proletariat. A new feudalism was entrenching itself behind the stockades of Homestead and the other steel-towns of the Pittsburgh district. Here was a mode of building, solid, formidable, at times almost brutal, that served the esthetic needs of the barons of coal and steel almost as well as the classic met those heroes who had survived the War of Independence.

I have emphasized what was strong and fine in Richardson's work in order to show how free it was from the minor faults of romanticism; and yet it reckoned without its host, and Richardson, alas! left scarcely a trace upon the period that followed. Romanticism was welcomed when it built churches; tolerated when it built libraries; petted when it built fine houses; but it could not go much farther. Richardson was a mason, and masonry was being driven out by steel; he was an original artist, and original art was being thrust into the background by connoisseurship and collection; he was a builder, and architecture was committing itself more and more

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to the paper plan; he insisted upon building four-square, and building was doomed more and more to *façaderie*. The very strength of Richardson's buildings was a fatal weakness in the growing centers of commerce and industry. It takes more than a little audacity to tear down one of Richardson's monuments, and so, rather ironically, they have held their own against the insurrections of traffic and realty speculation; but the difficulty of getting rid of these Romanesque structures only increased the demand for a more frail and facile method of construction.

Romanticism met its great defeat in the office-building. By the use of the passenger elevator, first designed for an exhibition-tower adjacent to the Crystal Palace in 1853, it had become possible to raise the height of buildings to seven stories: the desire for ground-rents presently increased the height to ten. Beyond this, mere masonry could not go without thickening the supporting piers to such an extent that on a twenty-foot lot more than a quarter of the width would be lost on the lower floors. Richardson's Marshall Field Building in Chicago was seven stories high; and that was about as far as solid stone or brick could climb without

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becoming undignified and futile by its bulk. The possibilities of masonry and the possibilities of commercial gain through ground-rents were at logger-heads, and by 1888 masonry was defeated.

Richardson, fortunately, did not live to see the undermining of the tradition he had founded and almost established. Within a decade of his death, however, only the empty forms of architecture remained, for the steel-cage of the engineer had become the new structural reality. By 1890 the ground-landlord had discovered, in the language of the pioneer's favorite game, that "the roof's the limit." If that was so, why limit the roof? With this canny perception the skyscraper sprang into being.

During this Gilded Age the standard of the best building had risen almost as high as it had been in America in any earlier period; but the mass of good building had relatively decreased; and the domestic dwellings in both city and country lost those final touches of craftsmanship that had lingered, here and there, up to the Civil War. In the awkward country villas that began to fill the still-remote suburbs of the larger cities, all sense of style and proportion were lost: the plan was marked by mean-

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ingless irregularities; a dingy, muddy color spread over the wooden façades. There exists a huge and beautifully printed volume, of which, I believe, there are not more than a hundred copies, on the villas of Newport in 1876: the compiler thereof sought to satisfy the vanity of the original owners and the curiosity of a later generation; yet mid all these examples of the "novel" and the "unique," there is not a single mansion that would satisfy any conceivable line of descendants.

If the level of architecture was low in the country, it touched the bottom of the abyss in the city. As early as 1835 the multiple-family tenement had been introduced in New York as a means of producing congestion, raising the ground-rents, and satisfying in the worst possible way the need of the new immigrants for housing. The conditions of life in these tenements were infinitely lower than they had been in the most primitive farmhouse of the colonial period; their lack of light, lack of water, lack of sanitary facilities, and lack of privacy, created an admirable milieu for the propagation of vice and disease, and their existence in a period which was boasting loudly of the advance of science and industrialism shows, to say the least, how the

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myths which inspired the age stood between the eye and reality, and obscured the actual state of the modern industrial community.

To the disgrace of the architectural profession in America, the worst features of tenement-house construction were standardized in the so-called dumb-bell tenement which won the first prize in the model tenement-house competition of 1879; and the tenements which were designed after this pattern in the succeeding years combined a maximum lack of privacy with a minimum of light and air. The gridiron street-design, the narrow frontage, the deep lot, all conspired to make good housing difficult in the larger cities: within this framework good house-design, indeed, still is difficult. The dumb-bell tenement of the Gilded Age, however, raised bad housing into an art; and the acquisition of this art in its later developments is now one of the stigmata of "progress" in a modern American city. I say this without irony; the matter is too grave for jest.

During these same 'seventies, the benefits of poor housing were extended in New York to those with money enough to afford something better: the Paris flat was introduced. The legitimate excuse for the

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small apartment was the difficulty of obtaining household service, and the futility of keeping up large houses for small families: this, however, had nothing to do with the actual form that the apartment took, for, apart from the desire for congestion-rents, it is as easy to build apartments for two families as for twenty. The flat is a genuine convenience for the well-to-do visitor to a city; it gives him the atmosphere of a home without many of its major complications, and those who got the taste for this life in Paris were not altogether absurd in desiring to enjoy the same benefits in New York. Unfortunately, what suits a visitor does not necessarily meet the demands of a permanent resident: one may tolerate a blank wall for a week or a month without being depressed, particularly since a good part of a visitor's time is spent outside his home; but to live year after year facing a blank wall or an equally-frowning façade opposite is to be condemned to the environment of a penitentiary.

The result of building apartments in New York and elsewhere was not cheaper rents for smaller quarters: it was smaller quarters without the cheap rents. Those who wanted sunlight and a pleasant view paid a premium for it; those who did not get

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either paid more than enough for what they got. The result of building apartments which would satisfy only a visitor was to make every family visitors: before the acute housing shortage, yearly removals to new premises were the only palliative that made their occupancy tolerable. The amount of wear and tear and waste, the loss of energy and money and good spirits, produced by the inability of the architect to design adequately under the pecuniary standards of the Gilded Age was colossal. The urban nomad in his own way was as great a spendthrift as the pioneer of the prairie. Both of them had been unable to create a permanent civilization; and both of them paid the price for it.

### III

During the first period of pioneering, mechanical improvements had affected the milieu of architecture, but not architecture itself, if one overlooks such ingenuities as the circular and octagon houses of the eighteen-thirties. Slowly, the actual methods of construction changed: the carpenter-builder, who had once performed every operation, gave way to the joiner, whose work profited by putty and paint.



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curtains and carpets—to the plasterer, who covered up the raw imperfect frame—and to the plumber. Weird ornamental forms for doors and window-architraves, for moldings and pendants, were supplied to the builder by the catalogs of the planing and scroll-saw mills. Invention produced novelties of contortion in wood, unique in ugliness and imbecile in design. Like the zinc and iron statues that graced the buildings of the Centennial Exposition, these devices record the absorption of art in a vain technology.

One need not dwell upon the results of all these miserable efforts, conceived in haste and aborted for profit: the phenomenon was common to industrial civilization at this period, and can be observed in Battersea and Manchester as well as in New York and Pittsburgh. Mr. Thomas Hardy, who was trained as an architect, wrote the esthetic apology for industrialism; and in proclaiming the rightness of our architectural deserts, one cannot help thinking that he transferred to the Wessex countryside a little of the horrible depression he must have acquired in London.

“Gay prospects,” exclaimed Mr. Hardy, “wed happily with gay times; but, alas! if the times be

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not gay! Men have more often suffered from the mockery of a place too smiling than from the oppression of surroundings oversadly tinged. . . . Indeed, it is a question if the exclusive reign of orthodox beauty is not approaching its last quarter. The new vale in Tempe may be a gaunt waste in Thule: human souls may find themselves in closer harmony with external things wearing a somberness distasteful to our race when it was young. Shall we say that man has grown so accustomed to his spiritual Bastille that he no longer looks forward to, and even shrinks from, a casual emergence into unusual brightness?"

Even the best work of the period is blighted with this sombreness: the fact that so many of Richardson's buildings have the heavy air of a prison shows us that the Gilded Age was not, indeed, gay, and that a spiritual Black Friday perpetually threatened the calendar of its days.

#### IV

If the romantic movement in America proved that the architect could capture only a small part of the field, and go no further than the interests of priv-

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ilege allowed, the building of the Brooklyn Bridge showed how well industrialism could handle its problems when its purposes were not limited by the necessity for sloppy workmanship and quick turnover. The story of its building is a tribute to both science and humanity. When John Roebling, the designer of the bridge, died in the midst of his job, the business of construction was taken up by his son, and by his devotion to his task in season and out of season, Washington Roebling became an invalid. Confined to his house on Columbia Heights, for ten years the younger Roebling watched the work through a telescope, and directed it as a general would direct a battle. So goes the legend: it runs rather higher than the tales of mean prudence or mechanical skill which glorified Mr. Samuel Smiles' heroes.

The bridge itself was a testimony to the swift progress of physical science. The strong lines of the bridge, and the beautiful curve described by its suspended cables, were derived from an elegant formula in mathematical physics—the catenary curve. If the architectural elements of the massive piers have perhaps too much the bare quality of engineering, if the pointed arches meet esthetic betrayal in

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the flat solidity of the cornices, if, in short, the masonry does not sing as Richardson alone perhaps could have made it sing, the steel work itself makes up for this, by the architectural beauty of its pattern; so that beyond any other aspect of New York, I think, the Brooklyn Bridge has been a source of joy and inspiration to the artist. In the later bridges the spanning members are sturdier and the supporting piers and cables are lighter and less essential; and they suffer esthetically by the very ease of their triumph over the difficulties of engineering.

All that the age had just cause for pride in—its advances in science, its skill in handling iron, its personal heroism in the face of dangerous industrial processes, its willingness to attempt the untried and the impossible—came to a head in the Brooklyn Bridge. What was grotesque and barbarous in industrialism was sloughed off in the great bridges. These avenues of communication are, paradoxically, the only enduring monuments that witness a period of uneasy industrial transition; and to this day they communicate a feeling of dignity, stability, and unwavering poise.

The Brooklyn Bridge was opened in 1884; Rich-

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ardson died, after finishing the Pittsburgh Court House, in 1886. There was a short period during which the echoes of Richardson's style resounded in the work of the Western architects; and then in New York two of Richardson's own pupils, Messrs. McKim and White, who had caught the spirit of the period that was to follow the passing of the frontier, prepared an appropriate mold for its activities. By far the finest things in the late 'eighties are the shingled houses which Richardson and Stanford White and a few others developed for seaboard estates: they recovered the spirit of the early vernacular work, and continued the colonial tradition without even faintly recalling colonial forms. This new note, however, was scarcely sounded before it died out; and in the twenty years that followed the conflict between industrialism and romanticism was swallowed up and finally forgotten in the rise of a new mode. Richardson had not died too soon. The quality of mind and culture which shines through his work was opposed to nearly every manifestation of the period that succeeded him.

From this time on, romanticism retained a place for itself only by forfeiting its claims to occupy the whole province of architecture. In churches and

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college halls where the traditional tie with the Middle Ages had never been completely broken, its archaic triumphs have been genuine; but although Mr. J. G. Rogers' Harkness Memorial at Yale, and Messrs. Goodhue and Cram's St. Thomas' Church, for example, leave little to be desired in themselves, they have established no precedent for the hundred other kinds of building which the modern community requires; and it is not without significance that in his most recent efforts Mr. Goodhue, for one, had abandoned the molds of romanticism. Unlike Richardson, the surviving romanticists now demand a certain insulation from the modern world; the more intelligent exponents of the movement believe with Dr. Ralph Adams Cram that there is no hope for its achievement throughout the community without a return to "Walled Towns."

Such a retreat is the equivalent of surrender. To hold to Gothic precedent in the hope of re-creating the medieval community is to hope that an ancient bottle will turn potassium permanganate into claret. The romanticists have never fully faced the social and economic problems that attend their architectural solutions: the result is that they have been dependent upon assistance from the very forces and

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institutions which, fundamentally, they aim to combat. Isolated on little islands, secure for the moment, romanticism must view the work on the mainland with a gesture of irate despair; and the only future it dares to face lies behind it!





CHAPTER SIX  
THE IMPERIAL FAÇADE



THE decade between 1890 and 1900 saw the rise of a new period in American architecture. This period had, it is true, been dimly foreshadowed by the grandiose L'Enfant, but if the superficial forms resembled those of the early republic, and if the precedents of classic architecture again became a guide, the dawning age was neither a revival nor a continuation.

In the meanwhile, fresh influences had entered. The generation of students who had studied in the Ecole des Beaux Arts after the Civil War was ready, at last, to follow the lone trail which Richard H. Hunt had blazed. Richardson's most intimate disciples reacted against the stamp of his personality and sought a more neutral mode of expression, consecrated by established canons of good taste. On top of this, the introduction of steel-cage construction removed the necessity for solid masonry, and placed a premium upon the mask. The stage was set for a new act of the drama.

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All these influences shaped the style of our architecture when it arose; but the condition that gave it a substantial base was the rise of a new order in America's economic life. Up to this time, the chief industrial problem had been to improve the processes of mechanical production and to stake out new areas for exploitation. One may compare these economic advances to the separate sorties of an army operating on a wide front: any lone adventurer might take his courage in his hands and exploit an invention, or sink an oil well, if he could find it. By 1890 the frontier had closed; the major resources of the country were under the control of the monopolist; it became more important to consolidate gains than freshly to achieve them. Separate lines of railroads were welded into systems; separate steel plants and oil plants were wrought into trusts; and where monopoly did not rest upon a foundation of natural advantage, the "gentleman's agreement" began its service as a useful substitute. The popular movements which sought to challenge the forces of this new regime—the labor movement, socialism, populism—had neither analyzed the situation with sufficient care nor attracted the adherence of the majority. The defeat of Henry George as a local

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political candidate was symbolic: by 1888 a humane thinker like Edward Bellamy had already accepted the defeat, had embraced the idea of the trust, and had conceived a comprehensive utopia on the basis of letting the process of monopoly go the limit, so that finally, by a mere yank of the levers, the vast economic organizations of the country would become the "property" of the people.

The drift to the open lands came to a full pause. The land-empire had been conquered, and its overlords were waxing in power and riches: the name "millionaire" became the patent of America's new nobility. With the shift from industry to finance went a shift from the producing towns to the spending towns: architecture came to dwell in the stock exchanges, the banks, the shops, and the clubs of the metropolis; if it sought the countryside at all, it established itself in the villas that were newly laid out on hill and shore in the neighborhood of the great cities. The keys to this period are opulence and magnitude: "money to burn."

These years witnessed what the Roman historian, Ferrero, has called a "*véritable recommencement d'histoire.*" In the new centers of privilege there arose a scale of living and a mode of architecture

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which, with all its attendant miseries, depletions, and exploitations, recalled the Rome of the first and second centuries after Christ. It is needless to say that vast acres of buildings, factories, shops, homes, were erected which had no relation at all to the imperial regime; for not everyone participated in either the benefits or the depressions that attended the growth of monopoly; but the accent of this period, the dominant note, was an imperial one. While the commonplace building of the time cannot be ignored, it remains, so to say, out of the picture.

### II

Hardly had the process of concentration and consolidation begun before the proper form manifested itself. The occasion for its appearance was the World's Columbian Exposition, opened in 1893. In creating this fair, the enterprise and capacity for organization which the architects of Chicago had applied to the construction of the skyscraper transformed the unkempt wilderness of Jackson Park into the Great White City in the space of two short years. Here the architects of the country, particularly of New York and Chicago, appeared for the first time

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as a united profession, or, to speak more accurately, as a college. Led by the New Yorkers, who had come more decisively under European influence, they brought to this exposition the combination of skill and taste in all the departments of the work that had, two centuries earlier, created the magnificent formalities of Versailles. There was unity of plan in the grouping of the main buildings about the lagoon; there was unity of tone and color in the gleaming white façades; there was unity of effect in the use of classic orders and classic forms of decoration. Lacking any genuine unity of ideas and purposes—for Root had initially conceived of a variegated oriental setting—the architects of the exposition had achieved the effects of unity by subordinating their work to an established precedent. They chanted a Roman litany above the Babel of individual styles. It was a capital triumph of the academic imagination. If these main buildings were architecture, America had never seen so much of it at one time before. Even that belated Greco-Puritan, Mr. Charles Eliot Norton, was warm in praise.

It would be foolish to quarrel with the style that was chosen for these exposition buildings, or to

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deny its propriety. Messrs. McKim, White, Hunt, and Burnham divined that they were fated to serve Renaissance despots and emperors with more than Roman power, and unerringly they chose the proper form for their activities. Whereas Rome had cast its spell over the architects of the early Renaissance because they wished once more to enter into its life, the life of its sages and poets and artists, it attracted the architects of the White City because of its external features—because of its stereotyped canons and rules—because of the relatively small number of choices it offered for a lapse in taste—because of its skill in conspicuous waste, and because of that very noncommittal quality in its massive forms which permitted the basilica to become a church, or the temple to become a modern bank.

Of all the Renaissance architects, their impulses and interests were nearest, perhaps, to Robert Adam, whose church at West Wycombe could be turned into a ballroom by the simple act of removing the pews, and permitting the gay walls and decorations to speak for themselves. Behind the white staff façade of the World's Fair buildings was the steel and glass structure of the engineer: the building spoke one



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language and the "architecture" another. If the coming of the skyscraper had turned masonry into veneer, here was a mode of architecture which was little but veneer.

In their place, at the Fair, these classic buildings were all that could be demanded: Mr. Geoffrey Scott's defense of the Baroque, in *The Architecture of Humanism*, applies particularly to its essential manifestations in the Garden and the Theater—and why not in the Fair? Form and function, ornament and design, have no inherent relation, one with the other, when the mood of the architect is merely playful: there is no use in discussing the anatomy of architecture when its only aim is fancy dress. As a mask, as a caprice, the classic orders are as justifiable as the icing on a birthday cake: they divert the eye without damaging the structure that they conceal. Unfortunately, the architecture of the Renaissance has a tendency to imitate the haughty queen who advised the commons to eat cake. Logically, it demands that a Wall Street clerk shall live like a Lombardy prince, that a factory should be subordinated to esthetic contemplation; and since these things are impossible, it permits "mere building" to become illiterate and vulgar below the stand-

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ards of the most debased vernacular. Correct in proportion, elegant in detail, courteous in relation to each other, the buildings of the World's Fair were, nevertheless, only the simulacra of a living architecture: they were the concentrated expression of an age which sought to produce "values" rather than goods. In comparison with this new style, the romanticism of the Victorian Age, with its avid respect for the medieval building traditions, was honesty and dignity itself.

The Roman precedent, modified by the work of Louis XIV and Napoleon III, by Le Nôtre and Haussmann, formed the basis not merely for the World's Fair, but for the host of city plans that were produced in the two decades that followed. It seemed for a while as if the architect might take the place of the engineer as city planner, and that the mangled regularity of the engineer's gridiron plan, laid down without respect to topographic advantage or to use, might be definitely supplanted in the remodeled central districts and in the new extensions and suburbs of the American city. The evil of the World's Fair triumph was that it suggested to the civic enthusiast that every city might become a fair: it introduced the notion of the City Beautiful as a

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sort of municipal cosmetic, and reduced the work of the architect to that of putting a pleasing front upon the scrappy building, upon the monotonous streets and the mean houses, that characterized vast areas in the newer and larger cities.

If the engineer who had devoted himself to sewers and street-plans alone had been superficial, the architectural city planner who centered attention upon parkways alone, grand avenues alone, and squares like the Place de l'Etoile alone, was equally superficial. The civic center and the parkway represented the better and more constructive side of this effort: in Cleveland, in Pittsburgh, in Springfield, Mass., harmonious groups of white buildings raised their heads above the tangle of commercial traffic, and in the restoration of L'Enfant's plan for Washington, the realities of the imperial regime at length caught up with the dreamer born out of his due time. A good many of these plans, however, were pathetically immature. One of the reports for Manhattan, for example, devoted pages and pages to showing the improvement that would follow the demolition of the wall around Central Park—and the importance of clipped trees in the design of grand avenues!

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Plainly, the architect did not face with sufficient realism the colossal task with which he was confronted in the renovation of the city. He accepted his improvements too much at the value placed upon them by the leaders of Big Business—as a creator of land-values, as an element in increasing the commercial attractiveness of the city. Did not Mr. Daniel Burnham himself point to the improvements in Periclean Athens, not as the embodiment of Athenian citizenship and religion at its highest point, but as a measure for increasing the attractiveness of the city to visitors from abroad? Cut off from his true function to serve and beautify the community, made an accessory of business itself, like the merest salesman or advertising agent, it is no wonder that the architect speedily lost his leadership; and that the initiative went once again into the hands of the engineer.

The main merit of all these efforts to perpetuate the World's Fair is that they sought to achieve some of the dignity and decisiveness of the formal plan. Their weakness was that they neglected new elements, like the billboard, the skysign, the subway, the tall building, which undermined the effects of the plan even when it was achieved. In their efforts

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to escape from the welter of misguided commercial enterprise, the advocates of the city beautiful placed too great reliance upon spots of outward order and decency; they took refuge in the paper symmetry of axial avenues and round-points, as one finds them in Haussmann's Paris, and neglected the deeper and more genuine beauties of, let us say, the High Street in Oxford or Chipping Camden, or of many another European town that had achieved completion in its essentials before the nineteenth century.

In short, the advocates of the city beautiful sought a remedy on paper which could be purchased only by a thorough reorganization of the community's life. If all this applies to the better side of the World's Fair, it touches even more emphatically the worse.

The twenty years between 1890 and 1910 saw the complete rehabilitation of the Roman mode, as the very cloak and costume of imperial enterprise. The main effort of architecture was to give an effect of dignity and permanence to the façades of the principal thoroughfares: the public buildings must dominate the compositions, numerous boulevards and avenues must concentrate the traffic at certain points

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and guide the stranger to the markets and amusements: where possible, as in the Chicago plan, by Messrs. Burnham and Bennett, avenues must be cut through the gridiron pattern of blocks in order to achieve these effects. If this imperial street system is somewhat arbitrary, and if the necessary work of grading, filling, demolishing, and purchasing existing property rights is extremely costly, the end, nevertheless, justifies the means—the architecture impresses and awes a populace that shares vicariously in its glories. Should the effect prove a little too austere and formidable, the monuments will be offset with circuses and hippodromes.

In all this, the World's Fair was a precise and classic example, for it reproduced in miniature the imperial order. When the panic of 1893 kept people away from the exhibitions of art, industry, and culture, sideshows were promptly introduced by the astute organizers. Beyond the serene classic façades, which recalled the elevation of a Marcus Aurelius, sprawled the barkers, the freaks, and the tricksters, whose gaudy booths might have reminded the spectator of the other side of the imperial shield—the gaminism of Petronius Arbiter. The transforma-

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tion of these white façades into the Gay White Ways came during the next decade; whilst the sideshows achieved a separate existence as "Coney Island." On top of this came the development of the mildly gladiatorial spectacles of football and baseball: at first invented for playful exercise, they became a standard means of exhibition by more or less professional performers. The erection of numerous amphitheaters and arenas, such as the Yale Bowl, the Harvard Stadium, the Lewisohn Stadium, and their counterparts in the West, rounded out the imperial spectacle.

By a happy congruence of forces, the large-scale manufacture of Portland cement, and the reintroduction of the Roman method of concrete construction, came during the same period. Can anyone contemplate this scene and still fancy that imperialism was nothing more than a move for foreign markets and territories of exploitation? On the contrary, it was a tendency that expressed itself in every department of Western civilization, and if it appears most naked, perhaps, in America, that is only because, as in the earlier periods, there was so little here to stand in its way. Mr. Louis Sullivan might well complain, in *The Autobiography of an*

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Idea, that imperialism stifled the more creative modes of architecture which might have derived from our fine achievements in science, from our tentative experiments in democracy. It seems inevitable, however, that the dominant fact in our civilization should stamp the most important monuments and buildings with its image. In justice to the great professors of the classic style, Messrs. McKim and Burnham and Carrere and Hastings, one must admit that the age shaped them and chose them and used them for its ends. Their mode of building was almost unescapably determined by the milieu in which they worked.

The change in the social scene which favored an imperial setting was not without its effects upon the industries that supplied the materials for architecture, and upon the processes of building itself. Financial concentration in the stone quarries, for example, was abetted by the creation of a national system of rail transportation, and partly, perhaps, by the elaboration of the mechanical equipment for cutting and trimming stone beyond a point where a small plant could work economically. The result was that during this period numerous small local quarries, which had been called into existence by Richard-



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son's fine eye for color contrasts, were allowed to lapse. Vermont marble and Indiana limestone served better the traditions that had been created in the White City.

The carrying of coals to Newcastle is always a pathetic practice; it remained for the imperial age to make it a subject for boasting. Just as many Connecticut towns, whose nearby fields are full of excellent granite boulders, boast a bank or a library of remote marble, so New York City, which has a solid foundation of schist, gneiss, and limestone, can point to only a handful of buildings, notably the College of the City of New York and Mr. Goodhue's Church of the Intercession, in which these excellent local materials were used. The curious result of being able by means of railway transportation to draw upon the ends of the earth for materials has been, not variety, but monotony. Under the imperial order the architect was forced to design structures that were identical in style, treatment, and material, though they were placed thousands of miles apart and differed in every important function. This ignorance of regional resources is not incompatible with grand effects, or even on occasion with decently good architecture. But it does not

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profit by that fine adaptation to site, that justness of proportion in the size of window and slope of roof, which is an earnest of the architect's mastery of the local situation. Substitute Manila for the military colony of Timgad, or Los Angeles for Alexandria, and it is plain that we have here another aspect of Ferrero's generalization. Even architects whose place of work was nearer to the site of their buildings were, nevertheless, compelled to copy the style of the more successful practitioners in New York and Chicago.

In government, in industry, in architecture, the imperial age was one. The underlying policy of imperialism is to exploit the life and resources of separate regions for the benefit of the holders of privilege in the capital city. Under this rule, all roads lead literally to Rome. While, as the German historian, W. H. Riehl, points out, the provincial highroads served to bring the city out into the countryside, the railroads served to bring the major cities together and to drain the products of rural regions into the metropolis. It was no accident that the great triumphs of American architecture during the imperial period were the railroad stations; particularly the Pennsylvania and the Grand Central

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in New York, and the Union Station in Washington. Nor is it by mere chance that the Washington and the Pennsylvania stations are the monuments to two architects, McKim and Burnham, who worshiped most whole-heartedly at the imperial shrine. With capital insight, these men established the American Academy at Rome: they recognized their home.

Esthetically considered, it is true, perhaps, that the finest element in the Pennsylvania station is the train hall, where the architect has dealt sincerely with his steel elements and has not permitted himself to cast a fond, retrospective eye upon the Roman baths. When all allowances are made, however, there remains less for criticism in the railway stations and the stadiums—those genuinely Roman bequests—than in any of the other imperial monuments. Indeed, so well does Roman architecture lend itself to the railroad station that one of the prime virtues of such a building, namely ease of circulation, was even communicated to the New York Public Library, where it is nothing but a nuisance, since it both increases the amount of noise and diminishes the amount of space for reading rooms that are already overcrowded.

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Here, indeed, is the capital defect of an established and formalized mode: it tends to make the architect think of a new problem in terms of an old solution for a different problem. Mr. Charles McKim, for example, found himself hampered in competition over the New York Public Library because the demands of the librarian for a convenient and expeditious administration of his business interfered with the full-blown conception which Mr. McKim had in mind. All this happened after years of demonstration in the Boston Library of Messrs. McKim and White's failure to meet that problem squarely; and it apparently was not affected by Mr. McKim's experience with the great Columbia Library, which has ample space for everything except books. In short, the classic style served well enough only when the building to be erected had some direct relation to the needs and interests of the Roman world—the concourse of idlers in the baths or the tiers of spectators in the circuses and hippodromes. When it came face to face with our own day, it had but little to say, and it said that badly, as anyone who will patiently examine the superimposed orders on the American Telegraph Building in New York will discover for himself.

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### III

With the transition from republican to imperial Rome, numerous monuments were erected to the Divine Cæsar. Within a much shorter time than marked the growth of the imperial tradition in America, a similar edification of patriotic memories took place.

In the restoration of the original plan of Washington, which began in 1901, the axis of the plan was so altered as to make it pass through the Washington Monument; and at the same time the place of the Lincoln Memorial, designed by the late Mr. Henry Bacon, a pupil of Mr. McKim's, was assigned. This was the first of a whole series of temples devoted to the national deities. In the Lincoln Memorial, in the McKinley Memorial at Niles, Ohio, in the Hall of Fame at New York University, and in their prototype, Grant's Tomb, one feels not the living beauty of our American past, but the mortuary air of archæology. The America that Lincoln was bred in, the homespun and humane and humorous America that he wished to preserve, has nothing in common with the sedulously classic monument that was erected to his memory. Who lives in that shrine,

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I wonder—Lincoln, or the men who conceived it: the leader who beheld the mournful victory of the Civil War, or the generation that took pleasure in the mean triumph of the Spanish-American exploit, and placed the imperial standard in the Philippines and the Caribbean?

On the plane of private citizenship, a similar movement took place: while before 1890 one can count the tombs in our cemeteries that boast loudly of the owner's earthly possessions and power, from that time onward the miniature temple-mausoleum becomes more and more frequent. In fact, an entire history of architecture could be deduced from our cemeteries; all that has so far been described could be marked in the progress from the simple slab, carved in almost Attic purity with a weeping willow or a cubistic cherub, that characterized the eighteenth century, to the bad lettering and the more awkward headstones of the early nineteenth century; and from this to the introduction of polished granite and iron ornament in the post-Civil War cemetery, down to the mechanically perfect mausoleum, where the corpses are packed like the occupants of a subway train, that some of our more effusively progressive communities boast of today. As we live, so we die:

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no wonder Shelley described Hell as a place much like London.

The Roman development of New York, Chicago, Washington, and the lesser metropolises, had an important effect upon the homes of the people. Historically, the imperial monument and the slum-tenement go hand in hand. The same process that creates an unearned increment for the landlords who possess favored sites, contributes a generous quota—which might be called the unearned excrement—of depression, overcrowding, and bad living, in the dormitory districts of the city. This had happened in imperial Rome; it had happened again in Paris under Napoleon III, where Haussmann's sweeping reconstructions created new slums in the districts behind the grand avenues, quite as bad, if far less obvious, as those that had been cleared away; and it happened once again in our American cities. Whereas in Rome a certain limit, however, was placed upon the expansion of the city because of the low development of vehicular traffic, the rise of mechanical transportation placed no bounds at all on the American city. If Rome was forced to create huge engineering projects like aqueducts and sewers in order to cleanse the inhabitants and remove the

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offal of its congested districts, the American city followed the example of the modern Romes like London and Paris by devising man-sewers, in which the mass of plebeians could be daily drained back and forth between their dormitories and their factories.

So far from relieving congestion, these colossal pieces of engineering only made more of it possible: by pouring more feeder lines into the central district of New York, Boston, Chicago, or where you will, rapid transit increased the housing congestion at one end and the business-congestion at the other. As for the primary sewer system devised for the imperial metropolis, it could scarcely even claim, with rapid transit, that it was a valuable commercial investment. The water outlets of New York are so thoroughly polluted that not merely have the shad and the oyster beds vanished from the Hudson River, where both once flourished, but it is a serious question whether the tides can continue to transport their vast load of sewage without a preliminary reduction of its content. Like the extension of the water conduits into the Adirondacks, all these necessary little improvements add to the per capita cost of living in an imperial metropolis, without providing a single



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benefit that a smaller city with no need for such improvements does not enjoy. In the matter of public parks, for example, the Committee on Congestion in New York, in 1911, calculated that the park space needed for the East Side alone, on the scale provided by the city of Hartford, would be greater than the entire area of Manhattan Island. In short, even for its bare utilitarian requirements, the mass-city, as the Germans call it, costs more and gives less than communities which have not had imperial greatness inflicted upon them.

As to the more positive improvements under the imperial regime, history leaves no doubt as to their dubious character, and current observation only reinforces history's lesson. In discussing the growth of the tenement in Rome after the Great Fire, Friedlander says:

“The motives for piling up storeys were as strong as ever: the site for Cæsar's Forum had cost over £875,000 compensation to tenants and ground landlords. Rome had loftier houses than modern capital. A disproportionately large part of the area available for building was monopolized by the few, in consequence of the waste of space in the plethoric architecture of the day, and a very considerable

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portion was swallowed up by the public places, such as the imperial forums, which took up six hectares, as well as by the traffic regulations and extensions of the streets. The transformation and decoration of Rome by the Cæsars enhanced the scarcity of housing, as did Napoleon III's improvements in Paris. A further adjutory cause of the increase in the price of dwellings was the habit of speculation in house property (which Crassus had practiced in great style) and the monopoly of the proprietors, in consequence of which houses were let and sublet."

It would be tedious to draw out the parallel: given similar social conditions in America we have not been able to escape the same social results, even down to the fact that the palliatives of private philanthropy flourish here again as they had not flourished anywhere on the same scale since the Roman Empire. So much for imperial greatness. When an architect like Mr. Edward Bennett can say, as he did in *The Significance of the Fine Arts*: "House the people densely, if necessary, but conserve great areas for recreation," we need not be in doubt as to who will profit by the density and who will profit, at the other end, by the recreation. It is not merely that

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the park must be produced to remedy the congestion: it is even more that the congestion must be produced in order to provide for the park. To profit by both the disease and the remedy is one of the master-strokes of imperialist enterprise. Mr. Daniel Burnham said of the World's Fair, according to Mr. Bennett and Mr. Charles Moore, "that it is what the Romans would have wished to create in permanent form." One may say of our imperial cities that they are what the Romans did create—but whether the form will be permanent or not is a matter we may leave to the sardonic attentions of history

For my own part, I think we have at last acquired a criterion which will enable us to sum up the architecture of the imperial age, and deal justly with these railroad stations and stadiums, these sewers and circuses, these aqueducts and parkways and grand avenues. Our imperial architecture is an architecture of compensation: it provides grandiloquent stones for people who have been deprived of bread and sunlight and all that keeps man from becoming vile. Behind the monumental façades of our metropolises trudges a landless proletariat, doomed to the servile routine of the factory system;

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and beyond the great cities lies a countryside whose goods are drained away, whose children are uprooted from the soil on the prospect of easy gain and endless amusements, and whose remaining cultivators are steadily drifting into the ranks of an abject tenantry. This is not a casual observation: it is the translation of the last three census reports into plain English. Can one take the pretensions of this architecture seriously; can one worry about its esthetics or take full delight in such finer forms as Mr. Pope's Temple of the Scottish Rite in Washington, or Mr. Bacon's Lincoln Memorial? Yes, perhaps—if one refuses to look beyond the mask.

Even in some of its proudest buildings, the imperial show wears thin; and one need not peer into the slums beyond in order to realize its defects. The rear of the Metropolitan Museum or the Brooklyn Museum, for example, might be the rear of a row of Bronx tenements or Long Island City factories, so gaunt and barren and hideous is their aspect. If the imperial age was foreshadowed in the World's Fair, it has received its apotheosis in the museum. In contrast to the local museums one still finds occasionally in Europe, which are little more than ex-

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tensions of the local curio cabinet, the imperial museum is essentially a loot-heap, a comprehensive repository for plunder. The sage Viollet-le-Duc once patly said that he preferred to see his apples hanging on a tree, rather than arranged in rows in the fruit shop: but the animus of the museum is to value the plucked fruit more than the tree that bore it.

Into the museum come the *disjecta membra* of other lands, other cultures, other civilizations. All that had once been a living faith and practice is here reduced to a separate specimen, pattern, or form. For the museum, the world of art has already been created: the future is restricted to a duplication of the perfected past. This animus is identic with that which made the Romans so skillful in copying Greek statues and so dull in carving their own; a desirable habit of humility were it not for the fact that the works of art in the past could not have been created had our ancestors been so punctual in respect to finished designs. The one thing the museum cannot attempt to do is to supply a soil for living art: all that it can present is a pattern for reproduction. To the extent that an insincere or imitative art is better than no art at all, the Imperial Age marked

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an advance: to the extent, however, that a living art is a fresh gesture of the spirit, the museum confessed all too plainly that the age had no fresh gestures to make; on that score, it was a failure, and the copying of period furniture and the design of period architecture were the livid proofs of that failure

The museum is a manifestation of our curiosity, our acquisitiveness, our essentially predatory culture; and these qualities were copiously exhibited in the architecture of imperialism. It would be foolish to reproach the great run of architects for exploiting the characteristics of their age; for even those who in belief and design have remained outside the age—such resolute advocates of a medieval polity as Dr. Ralph Adams Cram—have not been able to divert its currents. In so far as we have learned to care more for empire than for a community of freemen, living the good life, more for dominion over palm and pine than for the humane discipline of ourselves, the architect has but enshrined our desires. The opulence, the waste of resources and energies, the perversion of human effort represented in this architecture are but the outcome of our general scheme of working and living. Architecture, like govern-

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ment, is about as good as a community deserves. The shell that we create for ourselves marks our spiritual development as plainly as that of a snail denotes its species. If sometimes architecture becomes frozen music, we have ourselves to thank when it is a pompous blare of meaningless sounds.





CHAPTER SEVEN  
THE AGE OF THE MACHINE



## I

SINCE 1910 the momentum of the Imperial Age seems to have slackened a little: at any rate, in architecture it has lost much of the original energy which had been given to it by the success of the Chicago Exposition. It may be, as Henry Adams hinted, that the rate of change in the modern world has altered, so that processes which required centuries for their consummation before the coming of the dynamo have been accelerated into decades.

With events and buildings so close to us, it is almost impossible to rate their relative importance; all that I can do in the present chapter is to single out one or two of the more important threads which, it seems to me, are bound to give the predominant color to the fabric of our architecture. It is fairly easy to see, however, why the imperial order has not stamped every aspect of our building: for one thing, eclecticism has not merely persisted, but the new familiarity that the American architect has gained with authentic European and Asiatic work

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outside the province of the classic has increased the range of eclecticism. So the baroque architecture of Spain, which flourished so well in Mexico, and the ecclesiastical architecture of Byzantium and Syria, have added a new charm to our motlied wardrobe: from the first came new lessons in ornament and color, applied with great success by Mr. Bertram Goodhue in the Panama-Pacific Exposition, and now budding lustily in southern villas and gardens; and from the second the architect is learning the importance of mass and outline—the essentials in monolithic construction.

Apart from this, however, the imperial regime has been stalled by its own weight. The cost of cutting through new streets, widening grand avenues, and in general putting on a monumental front has put the pure architect at a disadvantage: there is the same disparity between his plans and the actual aims of the commercial community as there is, quite often, between the prospectus and the actual organization of an industry. Within the precincts of the modern city, the engineer, whose utilitarian eye has never blinked at the necessity for profitable enterprise, and whose interest in human beings as loads, weights, stresses, or units pays no attention

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to their qualitative demands as human beings—within these precincts, I say, the engineer has recovered his supremacy.

Here, in fact, is the paradox of American architecture. In our suburban houses we have frequently achieved the excellence of Forest Hills and Bronxville; in our public buildings we tend more easily to approach the strength and originality of Mr. Goodhue's State Capitol for Nebraska; in fact, never before have the individual achievements of American architects been so rich, so varied, and so promising. In that part of architecture which lies outside the purlieus of our commercial system—I mean the prosperous country homes and college buildings and churches and municipal institutions—a tradition of good building and tactful design has been established. At this point, unfortunately, the scope of the architect has become narrowed: the forces that create the great majority of our buildings lie quite outside the cultivated field in which he works. Through the mechanical reorganization of the entire milieu, the place of architecture has become restricted; and even when architecture takes root in some unnoticed crevice, it blooms only to be cut down at the first "business opportunity."

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The processes which are inimical to architecture are, perhaps, seen at their worst in the business district of the metropolis; but more and more they tend to spread throughout the rest of the community. Mr. Charles McKim, for example, was enthusiastic over Mr. Burnham's design for the Illinois Trust and Savings Bank in Chicago, and predicted that it would long be a monument to his genius. "But unfortunately," as Mr. Burnham's biographer says, "unfortunately for Mr. McKim's reputation as a prophet, he was unappreciative of the rapid growth of Chicago, the consequent appreciation in the value of real estate in the Loop district, and the expansive force of a great bank. This beautiful building is doomed to be replaced by one which will tower into the air to the permissible height of structures in the business section of Chicago." The alternative to this destruction is an even more ignominious state of preservation; such a state as the Knickerbocker Trust Company building achieved in New York, or the old Customs House in Boston, both of which have been smothered under irrelevant skyscrapers. Even where economic necessity plays no distinct part, the forms of business take precedence over the forms of humanism—as in the Shipping Board's York Vil-

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lage, where as soon as the direction of the community planner was removed a hideous and illiterate row of shop-fronts was erected, instead of that provided by the architect, in spite of the fact that the difference in cost was negligible.

Unfortunately for architecture, every district of the modern city tends to become a business district, in the sense that its development takes place less in response to direct human needs than to the chances and exigencies of sale. It is not merely business buildings that are affected by the inherent instability of enterprises to which profit and rent have become *Ideal Ends*: the same thing is happening to the great mass of houses and apartments which are designed for sale. Scarcely any element in our architecture and city planning is free from the encroachment, direct or indirect, of business enterprise. The old Boulevard in New York, for example, which was laid out by the Tweed ring long before the land on either side was used for anything but squatters' farms, was almost totally disrupted by the building of the first subways, and it has taken twenty years to effect even a partial recovery. The widening of part of Park Avenue by slicing off its central grass plot has just been accomplished, in order to relieve

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traffic congestion; and it needs only a little time before underground and overground traffic will cause the gradual reduction of our other parkways—even those which now seem secure.

The task of noting the manifold ways in which our economic system has affected architecture would require an essay by itself: it will be more pertinent here, perhaps, to pay attention to the processes through which our economic system has worked; and in particular to gauge the results of introducing mechanical methods of production, and mechanical forms into provinces which were once wholly occupied by handicraft. The chief influence in eliminating the architect from the great bulk of our building is the machine itself: in blotting out the elements of personality and individual choice it has blotted out the architect, who inherited these qualities from the carpenter-builder. Mr. H. G. Wells, in *The New Macchiavelli*, described Altiora and Oscar Bailey as having the temperament that would cut down trees and put sanitary glass lamp-shades in their stead; and this animus has gone pretty far in both building and city planning, for the reason that lamp-shades may be manufactured quickly for sale, and trees cannot. It is time, perhaps, that we isolated



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the machine and examined its workings. What is the basis of our machine-ritual, and what place has it in relation to the good life?

### II

Before we discuss the influence of machinery upon building, let us consider the building itself as an architectural whole.

Up to the nineteenth century, a house might be a shelter and a work of art. Once it was erected, it had few internal functions to perform: its physiological system, if we may use a crude and inaccurate metaphor, was of the lowest order. An open fire with a chimney, windows that opened and closed—these were its most lively pretensions. Palladio, in his little book on the Five Orders, actually has suggestions for cooling the hot Italian villa by a system of flues conducted into an underground chamber from which cold air would circulate; but this ingenious scheme was on the plane of Leonardo's flying machine—an imaginative anticipation, I suppose, rather than a project.

With the exception of Wren's suggestions for ventilating the Houses of Parliament, and Sir

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Humphrey Davy's actual installation of apparatus for this purpose, it was not until the last quarter of the nineteenth century that engineers turned their minds to this problem, in America. Yankee ingenuity had devised central heating before the Civil War, and one of the first numbers of Harper's Weekly contained an article deploring the excessive warmth of American interiors; and at one time or another during the century, universal running water, open plumbing, gas, electric lighting, drinking fountains, and high speed electric elevators made their way into the design of modern buildings. In Europe these changes came reluctantly, because of the existence of vast numbers of houses that had been built without a mechanical equipment; so that many a student at the Beaux Arts returned from an attic in the Latin quarter where water was carried in pails up to the seventh story, to design houses in which the labor-saving devices became an essential element in the plan. It is only now, however, during the last two decades, that the full effect of these innovations has been felt.

The economic outcome of all these changes can be expressed mathematically; and it is significant. According to an estimate by Mr. Henry Wright in

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the Journal of the American Institute of Architects, the structure of the dwelling house represented over ninety per cent of the cost in 1800. Throughout the century there was a slow, steady increase in the amount necessary for site, fixtures, and appliances, until, in 1900, the curve takes a sharp upward rise; with the result that in 1920 the cost of site and mechanical equipment has risen to almost one-half the total cost of the house. If these estimates apply to the simple dwelling house, they apply, perhaps, with even greater force to the tenement, the office building, the factory, and the loft: here the cost of ventilation, of fireproof construction, of fire-prevention and fire-escaping devices, makes the engineering equipment bulk even more heavily.

Whereas in the first stages of industrial development the factory affected the environment of architecture, in its latest state the factory has become the environment. A modern building is an establishment devoted to the manufacture of light, the circulation of air, the maintenance of a uniform temperature, and the vertical transportation of its occupants. Judged by the standards of the laboratory, the modern building is, alas! an imperfect machine: the engineers of a certain public service corporation, for

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example, have discovered that the habit of punching windows in the walls of the building-machine is responsible for great leakages which make difficult the heating and cooling of the plant; and they hold that the maximum efficiency demands the elimination of windows, the provision of "treated" air, and the lighting of the building throughout the day by electricity.

All this would perhaps seem a little fantastic, were it not for the fact that we have step by step approached the reality. Except for our old-fashioned prejudice in favor of windows, which holds over from a time when one could see a green field or a passing neighbor by sitting at one, the transformation favored by the engineers has already been accomplished. Just because of the ease in installing fans, lights, and radiators in a modern building, a good part of the interiors of our skyscrapers are fed day and night with artificial light and ventilation. The margin of misuse under this method of construction is necessarily great; the province of design, limited. Instead of the architect's paying attention to exposure, natural circulation, and direct daylight, and making a layout which will achieve these necessary ends, he is forced to center his efforts on the maxi-

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imum exploitation of land. Where the natural factors are flouted or neglected, the engineer is always ready to provide a mechanical substitute—"just as good as the original" and much more expensive.

By systematically neglecting the simplest elements of city planning, we have provided a large and profitable field for all the palliative devices of engineering: where we eliminate sunlight we introduce electric light; where we congest business, we build skyscrapers; where we overcrowd the thoroughfares with traffic we burrow subways; where we permit the city to become congested with a population whose density would not be tolerated in a well-designed community, we conduct water hundreds of miles by aqueducts to bathe them and slake their thirst; where we rob them of the faintest trace of vegetation or fresh air, we build metalled roads which will take a small portion of them, once a week, out into the countryside. It is all a very profitable business for the companies that supply light and rapid transit and motor cars, and the rest of it; but the underlying population pays for its improvements both ways—that is, it stands the gratuitous loss, and it pays "through the nose" for the remedy.

These mechanical improvements, these labyrinths

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of subways, these audacious towers, these endless miles of asphalted streets, do not represent a triumph of human effort: they stand for its comprehensive misapplication. Where an inventive age follows methods which have no relation to an intelligent and humane existence, an imaginative one would not be caught by the necessity. By turning our environment over to the machine we have robbed the machine of the one promise it held out—that of enabling us to humanize more thoroughly the details of our existence.

### III

To return to architecture. A further effect of the machine process on the internal economy of the modern building is that it lends itself to rapid production and quick turnover. This has been very well put by Mr. Bassett Jones, in an article in *The American Architect*, which is either a hymn of praise to the machine, or a cool parade of its defects, according to the position one may take.

“As the building more and more takes on the character of the machine,” says Mr. Jones, “so does its design, construction, and operation become subject to the same rules that govern . . . a locomotive.

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Our grandfathers built for succeeding generations. The rate of development was slow, and a building which would satisfy the demands made upon it for a century would necessarily be of a substantial nature. But with us in a single generation even the best we can do with all the data and facilities at our command is out of date almost before it shows signs of appreciable wear. So a building erected today is outclassed tomorrow. The writer well remembers the late Douglas Robinson, when outlining the location and property to be improved by the construction of a building some twenty years ago, ending his directions with the proviso that it must be 'the cheapest thing that will hold together for fifteen years'! When the amortization charges must be based on so short a period as this, and with land taxes constantly increasing, it becomes obvious that construction must be based upon a cubic foot valuation that prohibits the use of any but the cheapest materials and methods. . . . Even the cost of carrying the required capital inactive during the period of production has its effect in speeding up production to the point where every part of the building that, by any ingenuity of man, can be machine-made must be so made."

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Since the features that govern the construction of modern buildings are conditioned by external canons of mechanism, purpose and adaptation to need play a small part in the design, and the esthetic element itself enters largely by accident. The plan of the modern building is not fundamental to its treatment; it derives automatically from the methods and materials employed. The skyscraper is inevitably a honeycomb of cubes, draped with a fire-proof material: as mechanically conceived, it is readily convertible: the floors are of uniform height and the windows of uniform spacing, and with no great difficulty the hotel becomes an office building, the office building a loft; and I confidently look forward to seeing the tower floors become apartments—indeed this conversion has already taken place on a small scale. Where the need of spanning a great space without using pillars exists, as in a theater or an auditorium, structural steel has given the architect great freedom; and in these departments he has learned to use his material well; for here steel can do economically and esthetically what masonry can do only at an unseemly cost, or not at all.

What is weak in some of our buildings, however, is



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not the employment of certain materials, but the application of a single formula to every problem. In the bare mechanical shell of the modern skyscraper there is precious little place for architectural modulation and detail; the development of the skyscraper has been towards the pure mechanical form. Our first tall buildings were designed for the most part by men who thought in terms of established architectural forms: Burnham and Root's Monadnock Building, in Chicago, which has exerted such a powerful influence over the new school of German architects, was an almost isolated exception; and, significantly enough, it did not employ the steel skeleton! The academic architects compared the skyscraper to a column, with a base, a shaft, and a capital; and they sought to relieve its empty face with an elaborate modeling of surface, like that of the old Flat-iron Building. Then the skyscraper was treated as a tower, and its vertical lines were accented by piers which simulated the acrobatic leap of stone construction: the Woolworth Tower and the Bush Tower were both designed in this fashion, and, in spite of numerous defects in detail, they remain with the new Shelton Hotel in New York among the most satisfactory examples of the skyscraper.

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Neither column nor buttress has anything to do with the internal construction of the skyscraper; both forms are "false" or "applied." Under the veracious lead of the late Mr. Louis Sullivan, the buildings of the machine period have accepted the logic of the draped cube, and the only gestures of traditional architecture that remain are the ornaments that cling to the very highest and the very lowest stories. Those buildings which do not follow this logic for the most part accentuate the clumsy unimaginativeness of the designer: the new Standard Oil building in New York, with its vestigial orders, shows an interesting profile across the harbor almost in spite of itself, but at a closer range will not bear criticism.

An ornamentalist, like Mr. Louis Sullivan, is perhaps at his best against the simple planes of the modern building: but a different order of imagination, an imagination like that of the Norman builders, is powerless in the face of this problem—or it becomes brutal. If modern building has become engineering, modern architecture retains a precarious foothold as ornament, or to put it more frankly, as scene painting. Indeed, what is the bare interior of a modern office or apartment house but a stage, wait-

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ing for the scenery to be shifted, and a new play to be put on. It is due to this similarity, I believe, that modern interior decoration has so boldly accepted the standards and effects of stage-design. A newspaper critic referred to Mr. Norman-Bel Geddes as having lined the interior of the Century Theater with a cathedral: well, in the same way, the interior of a modern skyscraper is lined with a factory, an office, or a home.

It is not for nothing that almost every detail of the mechanized building follows a standard pattern and preserves a studious anonymity. Except for the short run of the entrance, the original architect has no part in its interior development. If the architect himself is largely paralyzed by his problem, what shall we say of the artisans, and of the surviving handicraft workers who still contribute their quota of effort to the laying of bricks and stones, to the joining of pipes, to the plastering of ceilings? Gone are most of their opportunities for the exercise of skilled intelligence, to say nothing of art: they might as well make paper-boxes or pans for all the personal stamp they can give to their work. Bound to follow the architect's design, as the printer is supposed to follow the author's words, it is no

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wonder that they behave like the poor drudge in the Chicago Exposition who left bare or half-ornamented the columns which the architect had not bothered to duplicate in full in the haste of finishing his drawing. Is it any wonder, too, that the last vestige of guild standards is gone: that the politics of industry, the bargaining for better wages and fewer hours, concerns them more than their control over their job and the honor and veracity of their workmanship? What kind of work can a man put into "the cheapest building that will last fifteen years"?

### IV

The chief justification for our achievements in mechanical architecture has been brought forth by those who believe it has provided the basis for a new style. Unfortunately, the enthusiasts who have put the esthetic achievements of mechanical architecture in a niche by themselves, and who have serenely disregarded all its lapses and failures and inefficiencies, have centered their attention mainly upon its weakest feature—the skyscraper. I cannot help thinking that they have looked in the wrong place. The economic and social reasons

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for regarding the skyscraper as undesirable have been briefly alluded to; if they needed any further confirmation, a week's experience of the miseries of rapid transit would perhaps be sufficient. It remains to point out that the esthetic reasons are just as sound.

All the current praise of the skyscraper boils down to the fact that the more recent buildings have ceased to be as bad as their prototypes. Granted. The uneasy hemming and hawing of ornament, which once agitated the whole façade, has now been reduced to a concentrated gesture; and the zoning ordinances that have been established in many large American cities have transformed the older, top-heavy building into a tower or a pyramid. That this is something of an advance is beyond dispute; in New York one need only compare the Fisk Tire Building with the United States Tire Building, representing respectively the later and the earlier work of the same architects, to see what a virtue can be made of legal necessity. A great architecture, however, is something to be seen and felt and lived in. By this criterion most of our pretentious buildings are rather pathetic.

When one approaches Manhattan Island, for in-

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stance, from the Staten Island Ferry or the Brooklyn Bridge, the great towers on the tip of the island sometimes look like the fairy stalagmites of an opened grotto; and from an occasional vantage point on the twentieth floor of an office building one may now and again recapture this impression. But need I point out that one can count on one's fingers the number of buildings in New York or Chicago that one can approach from the street in similar fashion? For the millions who fill the pavements and shuttle back and forth in tubes, the skyscraper as a tall, cloudward building does not exist. Its esthetic features are the entrance, the elevator, and the window-pocked wall; and if there has been any unique efflorescence of a fresh style at these points, I have been unable to discover it.

What our critics have learned to admire in our great buildings is their photographs—and that is another story. In an article chiefly devoted to praise of the skyscraper, in a number of *The Arts*, the majority of the illustrations were taken from a point that the man in the street never reaches. In short, it is an architecture, not for men, but for angels and aviators!

If buildings are to be experienced directly, and

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not through the vicarious agency of the photograph, the skyscraper defeats its own ends; for a city built so that tall buildings could be approached and appreciated would have avenues ten times the width of the present ones; and a city so generously planned would have no need for the sort of building whose sole economic purpose is to make the most of monopoly and congestion. In order to accommodate the office-dwellers in the Chicago Loop, for example, if a minimum of twenty stories were the restriction, the streets would have to be 241 feet wide, according to a calculation of Mr. Raymond Unwin, in the *Journal of the American Institute of Architects*.

One need not dwell upon the way in which these obdurate, overwhelming masses take away from the little people who walk in their shadows any semblance of dignity as human beings; it is perhaps inevitable that one of the greatest mechanical achievements in a thoroughly dehumanized civilization should, no doubt unconsciously, achieve this very purpose. It is enough to point out that the virtues of the skyscraper are mainly exercises in technique. They have precious little to do with the human arts of seeing, feeling, and living, or with the

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noble architectural end of making buildings which stimulate and enhance these arts.

A building that one cannot readily see, a building that reduces the passerby to a mere mote, whirled and buffeted by the winds of traffic, a building that has no accommodating grace or perfection in its interior furnishing, beyond its excellent lavatories—in what sense is such a building a great work of architecture, or how can the mere manner of its construction create a great style? One might as well say, with Robert Dale Owen, that the brumma-gem gothic of the Smithsonian Institution was a return to organic architecture. Consider what painful efforts of interior decoration are necessary before the skyscraper-apartment can recapture the faded perfume of the home. Indeed, it takes no very discerning eye to see that in a short time we shall be back again in interiors belonging to the period of the ottoman and the whatnot, in order to restore a homely sense of comfort and esthetic ease to the eviscerated structure of the modern fireproof apartment. What chiefly distinguishes our modern American work in this department from that of the disreputable 'eighties is that the earlier architects were conscious of their emptiness, and attempted



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feverishly to hide it: whereas our moderns do not regard emptiness as a serious lapse, and are inclined to boast about it.

There is a sense, of course, in which these modern colossi express our civilization. It is a romantic notion, however, to believe that this is an important or beautiful fact. Our slums express our civilization, too, and our rubbish heaps tell sermons that our stones conceal. The only expression that really matters in architecture is that which contributes in a direct and positive way to the good life: that is why there is so much beauty to the square foot in an old New England village, and so little, beyond mere picturesqueness, in the modern metropolis. A building stands or falls, even as a pure work of art, by its just relation to the city around it. Without a sense of scale—and the skyscraper has destroyed our sense of scale—the effect of any single building is nullified.



The provinces in which mechanical architecture has been genuinely successful are those in which there have been no conventional precedents, and in which the structure has achieved a sense of absolute

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form by following sympathetically the limitations of material and function. Just as the bridge summed up what was best in early industrialism, so the modern subway station, the modern lunch room, the modern factory, and its educational counterpart, the modern school, have often been cast in molds which would make them conspicuous esthetic achievements. In the Aristotelian sense, every purpose contains an inherent form; and it is only natural that a factory or lunchroom or grain elevator, intelligently conceived, should become a structure quite different in every aspect from the precedents that are upheld in the schools.

It would be a piece of brash esthetic bigotry to deny the esthetic values that derive from machinery: the clean surfaces, the hard lines, the calibrated perfection that the machine has made possible carry with them a beauty quite different from that of handicraft—but often it is a beauty. Our new sensitiveness to the forms of useful objects and purely utilitarian structures is an excellent sign; and it is not surprising that this sensitiveness has arisen first among artists. Many of our power-plants are majestic; many of our modern factories are clean and lithe and smart, designed with unerr-

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ing logic and skill. Put alongside buildings in which the architect has glorified his own idiosyncrasy or pandered to the ritual of conspicuous waste, our industrial plants at least have honesty and sincerity and an inner harmony of form and function. There is nothing peculiar to machine-technology in these virtues, however, for the modern factory shares them with the old New England mill, the modern grain elevator with the Pennsylvania barn, the steamship with the clipper, and the airplane hangar with the castle.

The error with regard to these new forms of building is the attempt to universalize the mere process or form, instead of attempting to universalize the scientific spirit in which they have been conceived. The design for a dwelling-house which ignores everything but the physical necessities of the occupants is the product of a limited conception of science which stops short at physics and mechanics, and neglects biology, psychology, and sociology. If it was bad esthetics to design steel frames decorated with iron cornucopias and flowers, it is equally bad esthetics to design homes as if babies were hatched from incubators, and as if wheels, rather than love and hunger, made the world go round. During the

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first movement of industrialism it was the pathetic fallacy that crippled and warped the new achievements of technology; today we are beset by the plutonic fallacy, which turns all living things it touches into metal.

In strict justice to our better sort of mechanical architecture, I must point out that the error of the mechanolators is precisely the opposite error to that of the academies. The weakness of conventional architecture in the schools of the nineteenth century was the fact that it applied only to a limited province: we knew what an orthodox palace or post office would be like, and we had even seen their guilty simulacra in tenement-houses and shopfronts; but no one had ever dared to imagine what a Beaux Arts factory would be like; and such approaches to it as the pottery works in Lambeth only made the possibility more dubious. The weakness of our conventional styles of architecture was that they stopped short at a province called building—which meant the province where the ordinary rules of esthetic decency and politeness were completely abandoned, for lack of a precedent.

The modernist is correct in saying that the mass of building ought to speak the same language; it is

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well for him to attempt to follow Mr. Louis Sullivan, in his search for a "rule so broad as to admit of no exceptions." Where the modernist becomes confused, however, is in regarding the *dictionary* of modern forms, whose crude elements are exhibited in our factories and skyscrapers and grain elevators, as in any sense equivalent for their creative expression. So far our mechanical architecture is a sort of structural Esperanto: it has a vocabulary without a literature, and when it steps beyond the elements of its grammar it can only translate badly into its own tongue the noble poems and epics that the Romans and Greeks and medieval builders left behind them.

The leaders of modernism do not, indeed, make the mistake that some of their admirers have made: Mr. Frank Lloyd Wright's pleasure pavilions and hotels do not resemble either factories or garages or grain elevators: they represent the same tendencies, perhaps, but they do so with respect to an entirely different set of human purposes. In one important characteristic, Mr. Wright's style has turned its back upon the whole world of engineering: whereas the steel cage lends itself to the vertical skyscraper, Mr. Wright's designs are the very products of the

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prairie, in their low-lying, horizontal lines, in their flat roofs, while at the same time they defy the neutral gray or black or red of the engineering structure by their colors and ornament.

In sum, the best modern work does not merely respect the machine: it respects the people who use it. It is the lesser artists and architects who, unable to control and mold the products of the machine, have glorified it in its nakedness, much as the producer of musical comedies, in a similar mood of helpless adulation, has "glorified" the American girl—as if either the machine or the girl needed it.

It has been a genuine misfortune in America that, as Mr. Sullivan bitterly pointed out in *The Autobiography of an Idea*, the growth of imperialism burked the development of a consonant modern style. In Europe, particularly in Finland, Germany, and the Netherlands, the best American work has been appreciated and followed up, and as so often happens, exaggerated; so that the esthetic appreciation of the machine has been carried across the Atlantic and back again, very much in the way that Emerson's individualism was transformed by Nietzsche and became the mystic doctrine of the Superman. Some of the results of this movement

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are interesting and valid: the work of the Dutch architects, for example, in the garden suburbs around Amsterdam: but what pleases one in these new compositions is not the mechanical rigor of form but the playfulness of spirit—they are good architecture precisely because they are something more than mere engineering. Except for a handful of good precedents, our mechanical work in America does not express this vitality. The machine has stamped us; and we have not reacted.

Moreover, in the building of separate houses in the city and its suburbs, where the demands of mechanical efficiency are not so drastic as they are in the office building, the effect of the machine process has been to narrow the scope of individual taste and personality. The designer, whether he is the architect, the owner, or the working contractor, works within a tradition whose bearing lies beyond him. Outside this mechanical tradition we have had many examples of good individual work, like the stone houses that have been erected around Philadelphia, and the more or less native cement and adobe houses in New Mexico and California: but the great mass of modern houses are no longer framed for some definite site and some definite occupants: they are

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manufactured for a blind market. The boards are cut to length in the sawmill, the roofing is fabricated in a roofing plant, the window frames are cut in standard sizes and put together in the framing factory, the balustrade is done in a turning mill, the very internal fittings like china closets and chests are made in a distant plant, after one of a dozen patterns fixed and exemplified in the catalog. The business of the building worker is reduced to a mere assemblage of parts; and except for the more expensive grades of work, the architect is all but eliminated. The charming designs that the European modernists make testify to the strength of their long architectural tradition even in the face of machinery; the truth is that they fit our modern methods of house-production scarcely much better than the thatched cottage of clay and wattle. The nemesis of mechanism is that it inexorably eliminates the architect—even the architect who worships its achievements!

So much of the detail of a building is established by factory standards and patterns that even the patron himself has precious little scope for giving vent to his impulses in the design or execution of the work; for every divergence from a standardized



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design represents an additional expense. In fact, the only opportunity for expressing his taste and personality is in choosing the mode in which the house is to be built: he must find his requirements in Italy, Colonial America, France, Tudor England, or Spain—woe to him if he wants to find them in twentieth-century America! Thus the machine process has created a standardized conception of style: of itself it can no more invent a new style than a mummy can beget children. If one wishes a house of red brick it will be Georgian or Colonial; that is to say, the trimming will be white, the woodwork will have classic moldings, and the electric-light fixtures will be pseudo-candlesticks in silvered metal. If one builds a stucco house, one is doomed by similar mechanical canons to rather heavy furniture in the early Renaissance forms, properly duplicated by the furniture makers of Grand Rapids—and so on. The notion of an American stucco house is so foreign to the conception of the machine mode that only the very poor, and the very rich, can afford it. Need I add that Colonial or Italian, when it falls from the mouth of the “realtor” has nothing to do with authentic Colonial or Italian work?

Commercial concentration and the national market

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waste resources by neglect, as in the case of the Appalachian forests they squandered them by pillage. Standardized materials and patterns and plans and elevations—here are the ingredients of the architecture of the machine age: by escaping it we get our superficially vivacious suburbs; by accepting it, those vast acres of nondescript monotony that, call them West Philadelphia or Long Island City or what you will, are but the anonymous districts of Coketown. The chief thing needful for the full enjoyment of this architecture is a standardized people. Here our various educational institutions, from the advertising columns of the five-cent magazine to the higher centers of learning, from the movie to the radio, have not perhaps altogether failed the architect.

The manufactured house is set in the midst of a manufactured environment. The quality of this environment calls for satire rather than description; and yet a mere catalog of its details, such as Mr. Sinclair Lewis gave in *Babbitt*, is almost satire in itself. In this environment the home tends more and more to take last place: Mr. Henry Wright has in fact humorously suggested that at the present increasing ratio of site-costs—roads,

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sewers, and so forth—to house-costs, the house itself will disappear in favor of the first item by 1970. The prophetic symbol of this event is the tendency of the motor-car and the temple-garage to take precedence over the house. Already these incubi have begun to occupy the last remaining patch of space about the suburban house, where up to a generation ago there was a bit of garden, a swing for the children, a sandpile, and perhaps a few fruit trees.

The end of a civilization that considers buildings as mere machines is that it considers human beings as mere machine-tenders: it therefore frustrates or diverts the more vital impulses which would lead to the culture of the earth or the intelligent care of the young. Blindly rebellious, men take revenge upon themselves for their own mistakes: hence the modern mechanized house, with its luminous bathroom, its elegant furnace, its dainty garbage-disposal system, has become more and more a thing to get away from. The real excuse for the omnipresent garage is that in a mechanized environment of subways and house-machines some avenue of escape and compensation must be left open. Distressing as a Sunday automobile ride may be on the crowded

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highways that lead out of the great city, it is one degree better than remaining in a neighborhood unsuited to permanent human habitation. So intense is the demand for some saving grace, among all these frigid commercial perfections, that handicraft is being patronized once more, in a manner that would have astonished Ruskin, and the more audacious sort of interior decorator is fast restoring the sentimentalities in glass and wax flowers that marked the Victorian Age. This is a pretty comment upon the grand achievements of modern industry and science; but it is better, perhaps, that men should be foolish than that they should be completely dehumanized.

The architecture of other civilizations has sometimes been the brutal emblem of the warrior, like that of the Assyrians: it has remained for the architecture of our own day in America to be fixed and stereotyped and blank, like the mind of a Robot. The age of the machine has produced an architecture fit only for lathes and dynamos to dwell in: incomplete and partial in our applications of science, we have forgotten that there is a science of humanity, as well as a science of material things. Buildings which do not answer to this general description are either aristocratic relics of the age

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of handicraft, enjoyed only by the rich, or they are fugitive attempts to imitate cheaply the ways and gestures of handicraft.

We have attempted to live off machinery, and the host has devoured us. It is time that we ceased to play the parasite: time that we looked about us, to see what means we have for once more becoming men. The prospects of architecture are not divorced from the prospects of the community. If man is created, as the legends say, in the image of the gods, his buildings are done in the image of his own mind and institutions.



CHAPTER EIGHT  
ARCHITECTURE AND CIVILIZATION





IN the course of this survey we have seen how architecture and civilization develop hand in hand: the characteristic buildings of each period are the memorials to their dearest institutions. The essential structure of the community—the home, meeting-place, the work-place—remains; but the covering changes and passes, like the civilization itself, when new materials, new methods of work, new ideas and habits and ways of feeling, come into their own.

If this interpretation of the rôle of architecture is just, there is little use in discussing the needs and promises of architecture without relating the shell itself to the informing changes that may or may not take place in the life of the community itself. To fancy that any widespread improvement of architecture lies principally with the architects is an esthetic delusion: in a barren soil the most fertile geniuses are cut off from their full growth. We have not lacked architects of boldness and originality, from Latrobe to Louis H. Sullivan: nor have we lacked men of great ability, from Thomas Jefferson

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to Bertram Goodhue; nor yet have we lacked men who stood outside the currents of their time and kept their own position, from Richardson to Dr. Cram. With all these capacities at our disposal, our finest efforts in building remain chaotic and undisciplined and dispersed—the reflection of our accumulated civilization.

Our architectural development is bound up with the course of our civilization: this is a truism. To the extent that we permit our institutions and organizations to function blindly, as our bed is made, so must we lie on it; and while we may nevertheless produce isolated buildings of great esthetic interest, like Messrs. Cram and Goodhue's additions to West Point, like The Shelton, like a hundred country estates, the matrix of our physical community will not be affected by the existence of separate jewels; and most of our buildings will not merely be outside the province of the architectural profession—they will be the product of minds untouched, for the most part, by humane standards. Occasionally the accidental result will be good, as has happened sometimes in our skyscrapers and factories and grain elevators; but an architecture that must depend upon accidental results is not exactly a tri-

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umph of the imagination, still less is it a triumph of exact technology.

Looking back upon the finished drama, it is convenient to regard our community and our builders as creatures of their environment: once their choices are made, they seem inevitable. On this account even the pomp of the imperial architects can be justified, as the very voice and gesture of the period they consummated. Looking forward, however, this convenient fiction of inevitability is no longer serviceable: we are in the realm of contingency and choice; and at any moment a new factor may be introduced which will alter profoundly the economic and social life of the community. The Great War in Europe, the revolution in Russia, the spread of motor transportation in America, the idea of non-coöperation in India—I select these at random as matters which during the last generation have altered profoundly the unceasing “drift of things.”

The future of our civilization depends upon our ability to select and control our heritage from the past, to alter our present attitudes and habits, and to project fresh forms into which our energies may be freely poured. On our ability to re-introduce old elements, as the humanists of the late Middle

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Ages brought back the classic literature and uncovered the Roman monuments, or to introduce new elements, as the inventors and engineers of the last century brought in physical science and the machine-tool technology, our position as creators depends. During the last century our situation has changed from that of the creators of machinery to that of creatures of the machine system; and it is perhaps time that we contrived new elements which will alter once more the profounder contours of our civilization.

Unfortunately for our comfort and peace of mind, any real change in our civilization depends upon much more complicated, and much more drastic measures than the old-fashioned reformer, who sought to work a change of heart or to alter the distribution of income, ever recognized; and it will do little good to talk about a "coming renaissance" unless we have a dim idea of the sort of creature that is to be born again. Our difficulty, it seems to me, is due to the fact that the human sciences have lagged behind the physical ones; and up to the present time our good intentions have been frustrated for the lack of the necessary instruments of analysis. It may be helpful and amusing, however,

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to see what we can do in this department with the instruments that are already at hand.

In every community, as Frédéric Le Play first pointed out, there are three elements: the place, the work, and the people; the sociologist's equivalent of environment, function, and organism. Out of the interaction of the folk and their place, through the work, the simple life of the community develops. At the same time, each of these elements carries with it its specific spiritual heritage. The people have their customs and manners and morals and laws; or as we might say more briefly, their institutions; the work has its technology, its craft-experience, from the simple lore of peasant and breeder to the complicated formulæ of the modern chemists and metallurgists; while the deeper perception of the "place," through the analysis of the falling stone, the rising sun, the running water, the decomposing vegetation, and the living animal gives rise to the tradition of "learning" and science.

With this simple outline in mind, the process that created our present mechanical civilization becomes a little more plain; and we can appreciate, perhaps, the difficulties that stand in the way of any swift and easy transformation.

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Thus our present order was due to a mingled change in every aspect of the community: morally, it was protestantism; legally, the rise of representative government; socially, the introduction of "democracy"; in custom, the general breakdown of the family unit; industrially, it meant the collapse of the guilds and the growth of the factory-system; scientifically, the spread of physical science, and the increased knowledge of the terrestrial globe—and so on.

Each of these facets of the community's life was the object of separate attention and effort: but it was their totality which produced the modern order. Where—among other reasons—the moral preparation for mechanical civilization was incomplete, as in the Catholic countries, the industrial revolution was also late and incomplete; where the craft-tradition remained strong, as in the beech forests of the Chilterns, the industrial change made fewer inroads into the habits of the community, than, let us say, in Lancashire, where modern industry was untempered and unchallenged.

If the circumstances which hedge in our architecture are to be transformed, it is not sufficient, with Mr. Louis Sullivan, to say that we must ac-

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cept and enthrone the virtues of democracy; still less is there any meaning in the attempt of the Educational Committee of the American Institute of Architects to educate public taste in the arts. Nor is there any genuine esthetic salvation in the demand of the modernists that we embrace in more whole-hearted fashion the machine. Our architecture has been full of false starts and unfulfilled promises, precisely because the ground has not been worked enough beforehand to receive the new seeds.

If we are to have a fine architecture, we must begin at the other end from that where our sumptuously illustrated magazines on home-building and architecture begin—not with the building itself, but with the whole complex out of which architect, builder, and patron spring, and into which the finished building, whether it be a cottage or a skyscraper, is set. Once the conditions are ripe for a good architecture, the plant will flower by itself: it did so in the Middle Ages, as a hundred little towns and villages between Budapest and Glastonbury still testify; it did so again within a limited area among the swells of the Renaissance; and it is springing forth lustily today in the garden cities of England, the Netherlands, and the Baltic

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countries. The notion that our architecture will be improved by courses of appreciation in our museums and colleges is, to put it quite mildly, one of the decadent conceits of snobbery. It is only paper flowers that grow in this fashion

### II

In order to get our bearings, we shall pull apart, one by one, the principal elements in our heritage of civilization in the United States, and examine them separately. This is a dangerous convenience, however, and I must emphasize that these strands are tightly intertwined and bound up. It is only in thought that one can take them apart. No one has ever encountered man, save on the earth; no one has ever seen the earth, save through the eyes of a man. There is no logical priority in place, work, and people. In discussing the community one either deals with it as a whole, or one's discussion is incomplete and faulty.

### III

The capital sign of the early settlements beyond the seashore was the clearing; and since the great



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majority of newcomers lived by agriculture, the forest itself appeared merely as an obstacle to be removed. The untouched woods of America were all too lush and generous, and if an occasional Leatherstocking loved them, the new settler saw only land to clear and wood to burn. In the New England village, the tradition of culture was perhaps applied to the land itself, and elsewhere there are occasional elements of good practice, in the ordered neatness of boulder-fences. For the most part, however, the deliberate obliteration of the natural landscape became a great national sport, comparable to the extermination of bison which the casual western traveler devoted himself to at a later date.

The stripping of the Appalachian forest was the first step in our campaign against nature. By 1860 the effect was already grave enough to warn an acute observer, like George Perkins Marsh, of the danger to our civilization, and to prompt him in *Earth and Man*, to remind his countrymen that other civilizations about the Mediterranean and the Adriatic had lost their top-soil and ruined their agriculture through the wanton destruction of their forests.

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In the meanwhile, a new factor had entered. If before the nineteenth century we cleared the forest to make way for the farm, with the entrance of the industrial pioneer we began to clear the farm to parcel out the city. We have called this process the settlement of America, but the name is anomalous, for we formed the habit of using the land, not as a home, a permanent seat of culture, but as a means to something else—principally as a means to the temporary advantages of profitable speculation and exploitation.

James Mackay, a charitable Scotch observer in the middle of the nineteenth century, explained our negligence of the earth by the fact that we pinned our affections to institutions rather than places, and cared not how the landscape was massacred as long as we lived under the same flag and enjoyed the same forms of government. There is no doubt a little truth in this observation; but it was not merely our attachment to republican government that caused this behavior: it was even more, perhaps, our disattachment from the affiliations of a settled life. The pioneer, to put it vulgarly, was on the make and on the move; it did not matter to him how he treated the land, since by the time he could realize its de-

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ficiencies he had already escaped to a new virgin area. "What had posterity done for him?"

The pioneers who turned their backs on a civilized way of life in order to extend the boundaries of civilization, left us with a heavy burden—not merely blasted and disorderly landscapes, but the habit of tolerating and producing blasted and disorderly landscapes. As Cobbett pointed out in his attempt to account for the unkempt condition of the American farm, the farmer in this country lacked the example of the great landed estates, where the woods had become cultivated parks, and the meadowland had become lawns. Without this cultivated example in the country, it is no wonder that our cities have been littered, frayed at the edges, ugly; no wonder that our pavements so quickly obliterate trees and grass; no wonder that so many towns are little more than gashes of metal and stone.

Those who had been bred on the land brought into the city none of that disciplined care which might have preserved some of its amenities. They left the smoke of the clearings, which was a sign of rural "progress"; they welcomed the smoke of the towns, and all that accompanied it

It is scarcely a paradox to say that the improve-

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ment of our cities must proceed inwards from the countryside; for it is largely a matter of reversing the process which converts the farm into incipient blocks of real estate. Once we assimilate the notion that soil and site have uses quite apart from sale, we shall not continue to barbarize and waste them. Consider how the water's edge of lower Manhattan was developed without the slightest regard for its potential facilities for recreation; how the Acropolis of Pittsburgh, the Hump, was permitted to turn into a noisome slum; how the unique beauty of Casco Bay has been partly secured only by Portland's inferiority as a shipping center. Indeed, all up and down the country one can pick up a thousand examples of towns misplaced, of recreation areas becoming factory sites, of industries located without intelligent reference to raw materials or power or markets or the human beings who serve them, of agricultural land being turned prematurely into suburban lots, and of small rural communities which need the injection of new industries and enterprises, languishing away whilst a metropolis not fifty miles away continues to absorb more people, who daily pay a heavy premium for their congestion.

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I have already drawn attention to the waste of local materials in connection with our manufacture of buildings, our concentration of markets, and our standardization of styles. It is plain that our architects would not have to worry so painfully about the latest fashion-page of architectural tricks, if they had the opportunity to work more consistently with the materials at hand, using brick where clay was plentiful, stone where that was of good quality, and cement where concrete adapted itself to local needs—as it does so well near the seashore, and, for a different reason, in the south. Wood, one of our most important materials for both exterior and interior, has suffered by just the opposite of neglect: so completely have our Appalachian forests been mined, and so expensive are the freight charges for the long haul from the Pacific coast, that good housing in the east depends to no little extent upon our ability to recover continuous local supplies of timber throughout the Appalachian region.

(It is characteristic of our mechanical and metropolitan civilization that one of the great sources of timber waste is the metropolitan newspaper: and one of the remoter blessings of a sounder regional

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development is that it would, perhaps, remove the hourly itch for the advertising sheet, and by the same token would provide large quantities of wood for housing, without calling for the destruction of ten acres of spruce for the Sunday edition alone! I give the reader the privilege of tracing the pleasant ramifications of this notion.)

To see the interdependence of city and country, to realize that the growth and concentration of one is associated with the depletion and impoverishment of the other, to appreciate that there is a just and harmonious balance between the two—this capacity we have lacked. Before we can build well on any scale we shall, it seems to me, have to develop an art of regional planning, an art which will relate city and countryside in a new pattern from that which was the blind creation of the industrial and the territorial pioneer. Instead of regarding the countryside as so much grist doomed to go eventually into the metropolitan mill, we must plan to preserve and develop all our natural resources to the limit.

It goes without saying that any genuine attempt to provide for the social and economic renewal of a region cannot be constrained to preserve vested

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land-values and property rights and privileges; indeed, if the land is to be fully loved and cared for again we must recover it in something more than name only. The main objection to keeping our natural resources in the hands of the community, namely, that private capital is more zealous at exploitation, is precisely the reason for urging the first course. Our land has suffered from zeal in exploitation; and it would be much better, for example, that our water power resources should remain temporarily undeveloped, than that they should be incontinently used by private corporations to concentrate population in the centers where a high tariff can be charged. The number of things that are waiting to be done—the planting of town forests, the communal restoration of river banks and beaches, the transformation of bare roads into parkways—will of course differ in each region and locality; and my aim here is only to point to a general objective.

The beginnings of genuine regional planning have already been made in Ontario, Canada, where the social utilization of water-power has directly benefited the rural communities, and given them an independent lease on life. In the United States, Mr.

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Benton Mackaye has sketched out a bold and fundamental plan for associating the development of a spinal recreational trail with an electric power development for the whole Appalachian region, along the ridgeway; both trail and power being used as a basis for the re-afforestation and the re-peopling of the whole upland area, with a corresponding decentralization and depopulation of the overcrowded, spotty coastal region. Such a scheme would call for a pretty thorough dislocation of metropolitan values; and if it is slow in making headway, that is only because its gradual institution would mean that a new epoch had begun in American civilization. At the present time it is hard to discover how tangible these new hopes and projects may be: it is significant, however, that the Housing and Regional Planning Commission of the State of New York was called into existence by the necessity for finding a way out of our metropolitan tangle; and it is possible that a new orientation in power and culture is at hand.

In a loose, inconsecutive way, the objectives of regional planning have been dealt with by the conservation movement during the last century; and if the art itself has neither a corpus of experience nor



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an established body of practitioners, this is only to say that it has, as it were, broken through the surface in a number of places and that it remains to be gathered up and intelligently used. When regional planning starts its active career, it will concern itself to provide a new framework for our communities which will redistribute population and industry, and recultivate the environment—substituting forestry for timber-mining, stable agriculture for soil-mining, and in general the habit of dressing and keeping the earth for our traditional American practice of stripping and deflowering it. Architecture begins historically when the “Bauer” who plants becomes the “Bauer” who builds; and if our architecture is to have a substantial foundation, it is in a refreshed countryside that we will perhaps find it.

### IV

Let us now turn to industry. The medieval order was disrupted in America before it could fully take root. As a result we have no craft-tradition that is properly native, with the exception of the ship-builders and furniture-makers of New England, whose art has been on the wane since the second

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quarter of the nineteenth century. We have covered up this deficiency by importing from generation to generation foreign workmen, principally Germans and Italians, in whose birthplaces the art of using wood and stone has not been entirely lost; but we are still far from having created an independent craft-tradition of our own. If art is the fine efflorescence of a settled life, invention is the necessity of the roving pioneer who every day faces new difficulties and new hazards; and accordingly we have devoted our energies to the machine, and to the products of the machine. All that we cannot do in this medium we regard as "mere" art, and put it apart from the direct aims and practices of everyday life.

Our skill in working according to exact formulæ with machines and instruments of precision is not to be belittled: socially directed it would put an end to a hundred vapid drudgeries, and it would perhaps give the pervasive finish of a style to structures whose parts are now oddly at sixes and sevens. Unfortunately for us and for the world in general the machine did not come simply as a technological contribution: it appeared when the guild had broken down and when the joint stock company had gotten

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its piratical start as a Company of Gentleman-Adventurers. As a result, our mechanical age was given an unsocial twist; and inventions which should have worked for the welfare of the community were used for the financial aggrandizement of investors and monopolizers. In architecture, all the skill of the technologist and all the taste of the artist have become subservient to the desire of the financier for a quick turnover of capital, and the ground landlord for the maximum exploitation of the land. The sole chances for good workmanship occur when, by a happy accident of personality or situation, the patron asks of the architect and engineer only the best that they can give.

It is this side of exaggeration to say that today a building is one kind of manufactured product on a counter of manufactured products; but with a difference; for the internal processes of construction are still, in spite of all our advances, handicrafts. An interesting result, as Mr. F. L. Ackermann has pointed out, follows from this fact: namely, that the pace of building tends to lag behind the pace at which other goods are produced under the machine-system; and if this is the case, the quantitative production of buildings is bound to be too low, while

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their cost is bound, by the same process, to be disproportionately high.

The remedy seized by the engineer, as I have pointed out, is to introduce the process of standardization and mechanization wherever possible. This heightens the pace of building, and by and large it quickens the rate of deterioration in the thing built: both processes increase the turnover of buildings, and so tend to make the art of building approach the rhythm established by our price-system for the other mechanical arts; since, under the price-system, the manufacturer must create a continued demand for his products or risk flooding the market. The two ways of creating a demand are to widen the area of sale or to increase the rate of consumption. Shoddy materials and shoddy workmanship are the most obvious means of accomplishing the second end; but fashion plays a serious part, and maladaptation to use, though less frequently noted, cannot be ignored.

All these little anomalies and inconveniences have come with machinery, not of course because the machine is inherently wasteful and fraudulent, but because our social order has not been adapted to its use. Our gains have been canceled, for the reason

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that the vast expansion of our productive powers has necessitated an equally vast expansion in our consumptive processes. Hence in many departments of building, the advantage of machinery has been almost nullified; and if handicraft has been driven out, it is less because it is inefficient than because the pace of production and consumption under handicraft is so much retarded.

When Ruskin began to agitate for the revival of handicraft it looked as if our industrial system were bound to triumph everywhere, and as if Ruskin's protest were the last weak chirp of romanticism. At the present time, however, the issue is not so simple as it seemed to the builders of the Crystal Palace; nor are the choices so narrow. What seemed a fugitive philosophy when applied to the machine by itself has turned out to be a rigorous and intelligent criticism, when applied to the machine-system. The use of the machine in provinces where it has no essential concern, the network of relationships that have followed the financial exploitation of machinery—these things have led to a revolt, in which the engineers themselves have participated. It is not machinery alone that causes standardization, we begin to see, but the national market; it is not the machine

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that makes our cheaper houses blank and anonymous, but the absence of any mediating relation between the user and the designer—except through the personality of the builder, who builds for sale

Apart from this, in certain industries like wood-turning and furniture-making the introduction of the gasoline engine and the electric motor has restored the center of gravity to the small factory, set in the countryside, and to the individual craftsman or group, working in the small shop. Professor Patrick Geddes has characterized the transition from steam to electricity as one from the paleotechnic to the neotechnic order; and intuitive technological geniuses, like Mr. Henry Ford, have been quick to see the possibilities of little factories set in the midst of the countryside. Mechanically speaking, the electric motor has in certain industries and operations placed the individual worker on a par with the multiple-machine factory, even as motor transportation is reducing the advantages of the big city over the small town or village. It is therefore not unreasonable to look forward to a continuation of this development, which will enable groups of building workers to serve their immediate region quite as economically as would a multitude of na-

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tional factories, producing goods blindly for a blind national market. With direct sale and service, from local sawmills and local furniture-making shops, the older handicrafts themselves might reënter once more through the back door—as indeed they have already begun to do in response to the demands of the wealthy.

I am not suggesting here that handicraft is likely to replace machinery: what I am suggesting is the immediate and tangible possibility that machinery itself may lend itself in its modern forms to a more purposive system of production, like that fostered by handicraft; and under this condition the antagonism and disparity between the two forms of production need not be so great as they are at present. In a little valley I happen to be acquainted with, there is enough running water to supply five families with electric light from a single power plant; unfortunately, five families cannot combine for such a purpose in the state I am speaking of without a power-franchise; and so the only source of electric light is a distant commercial power plant using coal. Here is an obvious case where commercial monopoly runs contrary to economy and where the benefits of modern technology are forfeited in the working of

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our financial system. Once we understand that modern industry does not necessarily bring with it financial and physical concentration, the growth of smaller centers and a more widespread distribution of the genuine benefits of technology will, I think, take place.

It is true that the movement of the last hundred years has been away from handicraft; but a hundred years is a relatively short time, and at least a part of the triumph of machinery has been due to our naïve enjoyment of it as a plaything. There is a wide difference between doing away with hand-labor, as in sawing wood or hoisting a weight, and eliminating handicraft by using machine tools for operations which can be subtly performed only by hand. The first practice is all to the good: the second essentially misunderstands the significance of handicraft and machinery, and I must dwell on this point for a moment, since it is responsible for a good deal of shoddy thinking on the future of art and architecture.

v

On the human side, the prime distinction overlooked by the mechanists is that machine work is



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principally toil: handicraft, on the other hand, is a form of living. The operations of the mechanical arts are inherently servile, because the worker is forced to keep the pace set by the machine and to follow the pattern set by the designer, someone other than himself; whereas the handicrafts are relatively free, in that they allow a certain leeway to different types of work and different ways of tackling a job. These distinctions are bound up with a difference in the forms that are used; and it is through these esthetic differences that we may, perhaps, best see how the personal and mechanical may be apportioned in the architecture of the future.

The key to handicraft esthetics, it seems to me, is a sort of vital superfluity. The carpenter is not content with his planed surface; nor is the mason satisfied with the smooth stone; nor does the painter impartially cover the bare wall: no, each worker must elaborate the bare utilitarian object until the capital becomes a writhing mass of foliage, until the domed ceiling becomes the gate of heaven, until each object gets the imprint of the fantasies that have ripened in the worker's head. The craftsman literally possesses his work, in the sense that the Bible says a body is possessed by a familiar spirit.

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Occasionally, this elaboration passes the point at which it would give the highest esthetic delight to the beholder; nevertheless, the craftsman keeps pouring himself into his job: he must fill up every blank space, and will not be denied, for carving wood or hacking stone, when it is done with a free spirit, is a dignified and enjoyable way of living. Those of us who have become acclimated to industrialism sometimes find the effulgence and profusion of craftsmanship a little bewildering: but if our enjoyment of the portals of a medieval cathedral or the façade of an East Indian house is dulled by the myopic intricacy of the pattern, our appreciation of the craftsman's fun and interest should be heightened. Granting that art is an end in itself, is it not an end to the worker as well as the spectator? A great part of craftsmanship needs no other justification than that it bears the mark of a joyous spirit.

When we compare an ideal product of handicraft, like a Florentine table of the sixteenth century, with an ideal product of mechanical art—say a modern bathroom—the contrasting virtues and defects become plain. The conditions that make possible good machine-work are, first of all, a complete calculation of consequences, embodied in a working drawing or

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design: to deviate by a hair's breadth from this calculation is to risk failure. The qualities exemplified in good machine-work follow naturally from the implements: they are precision, economy, finish, geometric perfection. When the workman's personality intervenes in the process, it is carelessness. If he leave his imprint, it is a flaw.

A good pattern in terms of the machine is one that fulfills the bare essentials of an object: the chairishness of a chair, the washiness of a basin, the enclosedness of a house, and any superfluity that may be added by way of ornament is a miscarriage of the machine-process, for by adding dull work to work that is already dull it defeats the end for which machinery may legitimately exist in a humane society; namely, to produce a necessary quantity of useful goods with a minimum of human effort.

Craftsmanship, to put the distinction roughly, emphasizes the worker's delight in production: any one who proposed to reduce the amount of time and effort spent by the carver in wood or stone would be in effect attempting to shorten the worker's life. Machine-work, on the other hand, tends at its best to diminish the inescapable drudgeries of production: any dodge or decoration that increases the time spent

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in service to the machine adds to the physical burden of existence. One is a sufficient end; the other is, legitimately, only a means to an end.

Our modern communities are far from understanding this distinction. Just as in art we multiply inadequate chromolithographs and starve the modern artist, so in architecture a good part of machine-work is devoted to the production of fake handicraft, like the molded stone ornamentation used in huge Renaissance fireplaces, designed frequently for small modern apartments that are superheated by steam. In turn, the surviving worker who now practices handicraft has been debased into a servile drudge, using his skill and love, like his predecessors in Imperial Rome, to copy the original productions of other artists and craftsmen. Between handicraft that is devoted to mechanical reproduction and machinery that is set to reproduce endless simulacra of handicraft, our esthetic opportunities in art and architecture are muffed again and again. An occasional man of talent, like Mr. Samuel Yellin, the iron-worker, will survive; but the great run of craftsmen do not.

Now, with due respect to the slickness and perfection of the best machine-work, we enjoy it because of

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the use that it fulfills: it may incidentally achieve significant form, but no one retains a pickle bottle, beautifully shaped though Messrs. Heinz and Co.'s are, for this reason: it was meant for pickles and it vanishes with the pickles. This is not merely true of today: it is true of all ages: the common utensils of life return to the dust, whereas those things that hold the imprint of man's imagination—the amphoræ of the Greek potters, the fragile crane-necked bottles of the Persians, the seals of the Egyptians—are preserved from the rubbish heap, no matter how frail they may be or how small their intrinsic value.

There is something in man that compels him to respect the human imprint of art: he lives more nobly surrounded by his own reflections, as a god might live. The very rage of iconoclasm which the Mohammedans and Puritans and eighteenth-century liberals exhibited betrayed a deep respect for the power of art; for we destroy the things that threaten our existence. Art, in a certain sense, is the spiritual varnish that we lay on material things, to insure their preservation: on its lowest terms, beauty is justified because it has "survival value." The fact that houses which bear the living imprint of the mind are irreplaceable is what prevents them

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from being quickly and callously replaced. Wren's churches are preserved beyond their period of desuetude by Wren's personality. This process is just the opposite to that fostered by the machine-system, and it explains why, in the long run, machine-work may be unsatisfactory and uneconomical—too quickly degraded.

Art, in fact, is one of the main ways in which we escape the vicious circle of economic activity. According to the conventional economist, our economic life has but three phases: production, distribution, and consumption. We work to eat so that we may eat to work. This is a fairly accurate portrait of life in an early industrial town; but it does not apply to the economic processes of a civilized community. Everywhere, even in regions of difficulty, something more comes out of production than the current income and the current saving of capital: sometimes it is leisure and play, sometimes it is religion, philosophy, and science, and sometimes it is art. In the creation of any permanent work of art the processes of dissipation and consumption are stayed: hence the only civilized criterion of a community's economic life is not the amount of things produced, but the durability of things created. A community

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with a low rate of production and a high standard of creation will in the long run be physically richer than a modern city in which the gains of industry are frittered away in evanescent, uncreative expenditures. What matters is the ratio of production to creation.

Here lies the justification of the modern architect. Cut off though he is from the actual processes of building, he nevertheless remains the sole surviving craftsman who maintains the relation towards the whole structure that the old handicraft workers used to enjoy in connection with their particular job. The architect can still leave his imprint, and even in the severely utilitarian factory he can take the simple forms of the engineer and turn them into a superb structure like Messrs. Helmle and Corbett's Fletcher Building in New York. To the extent that honest engineering is better than fake architecture, genuine architecture is better than engineering: for it strikes the same esthetic and humane chord that painting and sculpture appeal to by themselves. The freedom to depart from arbitrary and mechanical precedent, the freedom to project new forms which will more adequately meet his problem are essential to the architect. Up to the present he has been

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able, for the most part, to exercise this freedom only on traditional buildings, like churches and libraries and auditoriums, which are outside the reaches of the present commercial regime and have therefore some prospect of durability.

But before the whole mass of contemporary building will be ready to receive the imprint of the architect, and before the handicrafts re-enter the modern building to give the luster of permanence to its decorations and fixtures, there will have to be a pretty thoroughgoing reorientation in our economic life. Whilst buildings are erected to increase site values, whilst houses are produced in block to be sold to the first wretch who must put a roof over his family's head, it is useless to dwell upon the ministrations of art; and, unfortunately, too much of our building today rests upon this basis and exhibits all the infirmities of our present economic structure.

From the aspect of our well-to-do suburbs and our newly-planned industrial towns, from the beginnings of a sound functional architecture in some of our schools and factories, it is easy to see what the architecture of our various regions might be if it had the opportunity to work itself out in a coherent



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pattern. For the present, however, it is impossible to say with any certainty whether our architects are doomed to be extruded by mechanism, or whether they will have the opportunity to restore to our machine-system some of the freedom of an earlier regime; and I have no desire to burden this discussion with predictions and exhortations. But if the conclusions we have reached are sound, it is only the second possibility that holds out any promise to the good life.

### VI

So far we have considered the regional and industrial bearing of architecture: it now remains to examine briefly its relation to the community itself.

In the building of our cities and villages the main *mores* we have carried over have been those of the pioneer. We have seen how the animus of the pioneer, "mine and move," is antagonistic to the settled life out of which ordered industries and a great architecture grow. We have seen also how this animus was deepened in the nineteenth century by the extraordinary temptation to profit by the increase in land-increments which followed the growth

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of population, the result being, as Mr. Henry George saw when he came back to the cities of the East from a part of California that was still in the throes of settlement—progress *and* poverty.

Now, to increase the population of a town and to raise the nominal values in ground rents is almost a moral imperative in our American communities. That is why our zoning laws, which attempt to regulate the use of land and provide against unfair competition in obtaining the unearned increment, almost universally leave a loophole through which the property owners, by mutual consent, may transform the character of the neighborhood for more intensive uses and higher ground rents. All our city planning, and more and more our architecture itself, is done with reference to prospective changes in the value of real estate. It is nothing to the real estate speculator that the growth of a city destroys the very purpose for which it may legitimately exist, as the growth of Atlantic City into a suburb of Broadway and Chestnut Street ruined its charm as a seaside fishing village. Sufficient unto the day is the evil he creates.

Most of the important changes that must be effected in relation to industry and the land cannot

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be accomplished without departing from these dominant *mores*—from the customs and laws and uneasy standards of ethics which we carry over from the days of our continental conquest. The pioneer inheritance of the miner, coupled with the imperial inheritance of the hunter-warrior, out for loot, lie at the bottom of our present-day social structure; and it is useless to expect any vital changes in the milieu of architecture until the miner and the hunter are subordinated to relatively more civilized types, concerned with the culture of life, rather than with its exploitation and destruction.

I am aware that the statement of the problem in these elementary terms will seem a little crude and unfamiliar in America where, in the midst of our buzzing urban environment, we lose sight of the underlying primitive reality, or—which is worse—speak vaguely of the “cave-man” unleashed in modern civilization. I do not deny that there are other elements in our makeup and situation that play an important part; but it is enough to bring forward here the notion that our concern with physical utilities and with commercial values is something more than an abstract defect in our philosophy. On the contrary, it seems to me to inhere in the domi-

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nant occupations of the country, and it is less to be overcome by moralizing and exhortation, than to be grown out of, by taking pains to provide for the ascendancy and renewal of the more humane occupations.

Our communities have grown blindly, and, escaping the natural limitations which curbed even the Roman engineers, have not been controlled, on the other hand, by any normative ideal. One step in the direction of departing from our pioneer customs and habits would be to consider what the nature of a city is, and what functions it performs. The dominant, abstract culture of the nineteenth century was blithely unconcerned with these questions, but, as I have already pointed out, the Puritans not merely recognized their importance, but regulated the plan and layout of the city accordingly. The notion that there is anything arbitrary in imposing a limitation upon the area and population of a city is absurd: the limits have already been laid down in the physical conditions of human nature, as Mr. Frederic Harrison once wisely observed, in the fact that men do not walk comfortably faster than three miles an hour, nor can they spend on the physical exertion of locomotion and exercise more than a

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few hours in every twenty-four. With respect to the needs of recreation, home-life, and health, the growth of a city to the point where the outlying citizen must travel two hours a day in the subway between his office and his place of work is unintelligent and arbitrary.

A city, properly speaking, does not exist by the accretion of houses, but by the association of human beings. When the accretion of houses reaches such a point of congestion or expansion that human association becomes difficult, the place ceases to be a city. The institutions that make up the city—schools, clubs, libraries, gymnasia, theaters, churches, and so forth—can be traced in one form or another back to the primitive community: they function on the basis of immediate intercourse, and they can serve through their individual units only a limited number of people. Should the population of a local community be doubled, all its civic equipment must be doubled too; otherwise the life that functions through these institutions and opportunities will lapse and disappear.

It is not my purpose to discuss in detail the various devices by which our practice of endless growth and unlimited increment may be limited. Once the

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necessary conversion in faith and morals has taken place, the other things will come easily: for example, the social appropriation of unearned land-increments, and the exercise of the town-planner's art to limit the tendency of a community to straggle beyond its boundaries.

While a great many other ideas and measures are of prime importance for the good life of the community, that which concerns its architectural expression is the notion of the community as limited in numbers, and in area; and as formed, not merely by the agglomeration of people, but by their relation to definite social and economic institutions. To express these relations clearly, to embody them in buildings and roads and gardens in which each individual structure will be subordinated to the whole—this is the end of community planning.

With the coherence and stability indicated by this method of planning, architectural effect would not lie in the virtuosity of the architect or in the peculiar ornateness and originality of any particular building: it would tend to be diffused, so that the humblest shop would share in the triumph with the most conspicuous public building. There are examples of this order of comprehensive architectural design

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in hundreds of little villages and towns in pre-industrial Europe—to say nothing of a good handful in pre-industrial America—and community planning would make it once more our daily practice. That it can be done again the examples of Letchworth and Welwyn in England, and numerous smaller garden cities created by municipal authorities in England and other parts of Europe, bear evidence; and where the precepts of Mr. Ebenezer Howard have been to any degree followed, architecture has been quick to benefit.

The difference between community planning and the ordinary method of city-extension and suburb-building has been very well put in a recent report to the American Institute of Architects, by the Committee on Community Planning. “Community planning,” says the report, “does not ask by what desperate means a city of 600,000 people can add another 400,000 during the next generation, nor how a city of seven millions may enlarge its effective borders to include 29,000,000. It begins, rather, at the other end, and it asks with Mr. Ebenezer Howard how big must a city be to perform all of its social, educational, and industrial functions. It attempts to establish minima and maxima for different kinds

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of communities, depending upon their character and function. If the established practices of industry, commerce, and finance tend to produce monstrous agglomerations which do not contribute to human welfare or happiness, community planning must question these established practices, since the values they create have nothing to do with the essential welfare of the community itself, and since the condition thus created is inimical to the stable, architectural development of the community."

The normative idea of the garden-city and the garden-village is the corrective for the flatulent and inorganic conception of city-development that we labor with, and under, today. So far from being a strange importation from Europe, the garden-city is nothing more or less than a sophisticated recovery of a form that we once enjoyed on our Atlantic seaboard, and lost through our sudden and almost uncontrollable access of natural resources and people. Here and there an enterprising and somewhat benevolent industrial corporation has attempted to carry out some of the principles of garden-city development; and the United States Housing Corporation and the Shipping Board had begun to build many admirable communities, when the end of the



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war brought this vast initiative to a close. These precedents are better than nothing, it goes without saying, but there will have to be a pretty thorough reorientation in our economic and social life before the garden-city will be anything more than a slick phrase, without content or power.

Until our communities are ready to undertake the sort of community planning that leads to garden-cities, it will be empty eloquence to talk about the future of American architecture. Sheltered as an enjoyment for the prosperous minority, or used as a skysign for the advertisement of business, architecture will still await its full opportunity for creative achievement.

The signs of promise are plenty, and if I have dealt with the darker side of the picture and have occasionally overemphasized the weaknesses and defects of the American tradition, it is only because in our present appreciation of what the American architect has already given form to, we are likely to forget the small area these achievements occupy. So far we have achieved patches of good building; more than once we have achieved the *mot juste*, but we have not learnt the more difficult art of consecutive discourse. With respect to the architecture of the

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whole community, medieval Boston and medieval New Amsterdam had more to boast than their magnificently endowed successors. Just as Mr. Babbitt's great ancestor, Scadder, transformed a swamp into a thriving metropolis by the simple method of calling it New Eden, so do we tend to lighten our burdens by calling them the "blessings of progress"; but it does not avail. Our mechanical and metropolitan civilization, with all its genuine advances, has let certain essential human elements drop out of its scheme; and until we recover these elements our civilization will be at loose ends, and our architecture will unerringly express this situation.

Home, meeting-place, and factory; polity, culture, and art have still to be united and wrought together, and this task is one of the fundamental tasks of our civilization. Once that union is effected, the long breach between art and life, which began with the Renaissance, will be brought to an end. The magnitude of our task might seem a little disheartening, were it not for the fact that, "against or with our will," our civilization is perpetually being modified and altered. If in less than a hundred years the feudal civilization of Japan could adopt our modern mechanical gear, there is nothing to prevent our

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own civilization from recovering once more its human base—nothing, that is, except our own desires, aims, habits, and ends. This is an ironic consolation, perhaps, but the remedy it offers is real.



## ENVOI

*The aristocracies of the world have never doubted the supremacy of the home and garden and temple over all the baser mechanisms of existence, and the folk-civilizations out of which aristocracies have so often risen have never strayed far from these realities. In the Norse fables, the dwarfs are regarded as queer monsters, because they are always "busy people" who have no pride or joy except in the work they perform and the mischief they cause.*

*The great heresy of the modern world is that it ceased to worship the Lords of Life, who made the rivers flow, caused the animals to mate, and brought forth the yearly miracle of vegetation: it prostrated itself, on the contrary, before the dwarfs, with their mechanical ingenuity, and the giants, with their imbecile power. Today our lives are perpetually menaced by these "busy people"; we are surrounded by their machines, and for worship, we turn their prayer wheels of red-tape.*

*It will not always be so; that would be monstrous.*

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*Sooner or later we will learn to pick our way out of the débris that the dwarfs, the gnomes, and the giants have created; eventually, to use Henry Adams' figure, the sacred mother will supplant the dynamo. The prospects for our architecture are bound up with a new orientation towards the things that are symbolized in the home, the garden and the temple; for architecture sums up the civilization it enshrines, and the mass of our buildings can never be better or worse than the institutions that have shaped them.*

NOTES ON BOOKS





## HISTORICAL BACKGROUND

The best introductions to the historic setting of our architecture and civilization are the local guide-books and histories. See, for example, Stokes's excellent and exhaustive *Iconography of Manhattan*, and the *Memorial History of Boston*, edited by Justin Winsor. Both are profusely illustrated. In the wave of civic enthusiasm that swept over the country in the 'nineties, many local descriptions and histories were written. For the most part, they are loose, rambling, credulous, and devoid of sociological insight: but occasionally there is a nugget in the matrix. Powell's *Historic Towns* series covers broad ground. As regional histories, Weeden's *Economic and Social History of New England*, and Mr. Samuel Eliot Morison's *Maritime History of Massachusetts*, stand in a class by themselves: in them we have the beginnings of what W. H. Riehl called a "natural history" of the human community.

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### II

#### ARCHITECTURAL HISTORY

Ever since colonial architecture was reappreciated after the Civil War, a large amount of material has appeared on the early architecture of the colonies. Before 1900 the greater part of this was uncritical. Isham and Brown's work on the early architecture of Connecticut and Rhode Island made a new departure, which Messrs. Cousins and Riley's studies of the architecture of Salem and Philadelphia have carried on. Mr. Fiske Kimball's compendious study of the Domestic Architecture of the Colonies and the Early Republic brings together a large amount of authenticated data. Articles and illustrations dealing with particular aspects of our pre-industrial architecture, or with particular regions—like the Lebanon Valley in Pennsylvania—are scattered through the architectural periodicals. Beyond the early republican period, our architectural histories come to an end. Works like John Bullock's *The American Cottage Builder*, New York: 1854, occur in almost every old library and are full of interesting data. To fill the gap in later years we must have recourse to a comprehensive German treatise, *Das Amerikanische*

## *Biographical Studies*

Haus, by F. R. Vogel, Berlin: 1910. This may be supplemented by Homes in City and Country, by Russell Sturgis, J. W. Root and others, New York: 1893.

### III

#### BIOGRAPHICAL STUDIES

Where formal description leaves off, the biographies of our principal architects enter. The following books traverse in order the entire period from the Revolution to the present generation.

Samuel McIntire: His Life and Work. F. Cousins and P. M. Riley, Boston: 1916.

The Life and Letters of Charles Bulfinch. Ellen Susan Bulfinch, New York: 1896.

The Journal of Latrobe. Benjamin Henry Latrobe, New York: 1905.

Henry Hobson Richardson. Mrs. Schuyler Van Rensselaer, Boston: 1888.

Charles Follen McKim. A. H. Granger, Boston: 1913.

Daniel H. Burnham. Charles Moore, New York: 1921.

The Autobiography of an Idea. Louis H. Sullivan, New York: 1924.

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### IV

#### CONTEMPORARY WORK

Portfolios of work by contemporary architects are so numerous that to single out any would be invidious. The files of the *Architectural Record*, the *American Architect*, *House and Garden*, and *Arts and Decorations*—to mention only the more available periodicals—should be consulted particularly for illustrations.

### V

#### ESTHETICS

As an introduction to architecture in general the formal textbooks are occasionally useful. Let me commend particularly, however, Viollet-le-Duc's *The Habitations of Man in all Ages*. The archæology and ethnology of this work are, it goes without saying, outmoded: but for all that it has a permanent interest, and it is high time that someone took up Viollet-le-Duc's theme and redeveloped it in the light of contemporary research. While I am restoring a classic, let me add another: Ruskin's *The Seven Lamps of Architecture*. Ruskin is dis-

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regarded nowadays, as he was in his own generation, by people who have not yet caught up with him. His insight and unflinching intelligence are both needed, however, and it is no longer necessary to warn the student against his quirks and solecisms. Ruskin wrote the apology for modernism in art when he said: "There would be hope if we could change palsy for puerility," and he anticipated modern decoration when he said: "I believe the only manner of rich ornament that is open to us is geometrical color mosaic, and that much might result from strenuously taking up that mode of design." For that matter, Ruskin even predicted the architectural use of steel frames. The *Seven Lamps of Architecture* closes on a prophetic word which means far more to us today than to Ruskin's contemporaries. "I could smile," he said, "when I hear the hopeful exultation of many, at the new reach of worldly science and vigor of worldly effort; as if we were again at the beginning of new days. There is thunder on the horizon, as well as dawn." We who have seen the lightning strike may well reread these words. . . .

As for modern books on architecture and esthetics, let me recommend a handful. Among them note W. R. Lethaby's *Form in Civilization*. In sharp

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contrast to Professor Lethaby is Geoffrey Scott's *The Architecture of Humanism*, Boston: 1914. I do not accept Mr. Scott's main position; but there is something to be said for it, and he says it well. Both points of view are embraced in the distinction Mr. Claude Bragdon makes between the Organic and the Arranged, in one of Six Lectures on Architecture. From a limited field, Rhys Carpenter's *Esthetic Basis of Greek Art* reaches conclusions which illuminate almost every province of esthetics. There is an able exposition of the absolutist, mechanical point of view in *Vers Une Architecture*, by the architect whose pen-name is "Le Corbusier-Saugnier." In *Speculations*, Mr. T. E. Hulme presents an interesting philosophic apology for mechanism.

### VI

#### SOCIOLOGY

For the civic and sociological background of this study, consult Professor Patrick Geddes's *Cities in Evolution*, London: 1915, likewise his *Principles of Sociology in Relation to Economics*. The latter can be obtained through Le Play House, 65 Belgrave Road, London, S. W. 1. The chapter on West-

## *Sociology*

minster, by Mr. Victor Branford, in *Our Social Inheritance*, London: 1919, is a unique introduction to the direct study of social institutions and their architectural forms. The other volumes in *The Making of the Future* series, edited by Messrs. Geddes and Branford, should also have an important place on the student's shelf.

Light on our more immediate problems will be found in the files of the *Journal of the American Institute of Architects*. Note particularly Mr. F. L. Ackermann's article on *Craftsmen—Machines—Speed—Credit*, June, 1923, and Mr. Benton Mackaye's article on the proposed *Appalachian Trail*. See, also, the *Power* number of the *Survey Graphic*. The *Regional Planning* number of the *Survey Graphic*, May, 1925, deals in detail with some of the problems and possibilities treated in Chapter Eight. The *Report of the Committee on Community Planning of the American Institute of Architects (1924)* should be read in connection with the last chapter: it treats in detail the difficulties that the architect confronts under our present economic and social order. See, likewise, Mr. Ebenezer Howard's classic *Garden Cities of Tomorrow*.

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